

# Performance Analysis of a Stand-alone Brushless Doubly-fed Induction Generator Using a New T-type Steady-state Model

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## Abstract

The brushless doubly-fed induction generator (BDFIG) is a new type of dual stator winding induction generator. In such a generator, both the power winding (PW) and the control winding (CW) are housed in the stator. This paper presents the performance characteristics of a stand-alone BDFIG operation system. A new T-type steady-state model of a BDFIG is proposed. This model is more suitable for the performance analysis of stand-alone BDFIGs than the conventional  $\Pi$ -type steady-state model and the simplified inner core steady-state model. The characteristics of the power flow and CW current are analyzed by detailed mathematical derivations on the basis of the proposed T-type steady-state model. The analysis results are verified by experiments, which are carried out on a prototype BDFIG. The results of the performance analysis contribute to simplifying the control circuit, improving the control performance, and selecting an appropriate BDFIG for actual industrial applications.

**Key words:** brushless doubly-fed induction generator (BDFIG), performance analysis, stand-alone generation system, T-type steady-state model

## I. INTRODUCTION

A BDFIG can output voltage with a constant frequency while the rotor speed and load are changing, which makes the BDFIG suitable for variable speed power generation in both grid-connected and stand-alone applications, such as wind power generation and ship shaft power generation.

Generally, a grid-connected generation system controls the generator's active and reactive power. Nevertheless, in a stand-alone generation system, the amplitude and frequency of the output voltage of the generator should be stabilized when the rotor speed or load changes. Therefore, the operation characteristics of a grid-connected BDFIG are different from those of a stand-alone BDFIG. The control design and performance analysis for BDFIGs used in

grid-connected wind generators were developed in [1-4]. For stand-alone power generation applications, some control strategies and analysis have been developed [5-8], which are suitable for DFIGs but not for BDFIGs. No other reports on BDFIG applications in the stand-alone power generation have been found in the literature except for [22] and [23].

Many control strategies have been proposed for BDFIGs, such as open-loop scalar voltage control [9], closed-loop scalar current control [10], phase-angle control [11], closed-loop scalar frequency control [12], indirect stator-quantities control [13], and direct torque control [14].

Design theories and mathematical models of BDFIGs have been studied in [15]-[21]. The most well-known steady-state models of BDFIGs are the  $\Pi$ -type model and the simplified inner core model. However, the  $\Pi$ -type steady-state model is too complex for BDFIG performance analysis, and the inner core steady-state model is not suitable for the analysis of stand-alone BDFIG operation systems since it omits too many parameters.

In this paper, a new T-type steady-state model for BDFIGs is presented. The power flow and CW current in stand-alone BDFIG operation systems are analyzed by using the T-type steady-state model. Experimental investigations are implemented on a prototype BDFIG, which is specially designed for the application of stand-alone shaft generation in cargo ships driven by a fixed pitch propeller. The experimental results confirm the validity of the analysis. The performance analysis results are helpful for simplifying the control circuit, improving the control performance, and selecting an appropriate BDFIG for actual industrial applications.

## II. BASIC OPERATION PRINCIPLE OF THE BDFIG

A BDFIG can be operated in several modes, including synchronous mode, cascade mode, and induction mode [18]. The synchronous mode, also called the doubly-fed mode, is the optimal mode among three operation modes. Under this mode, the rotor speed can be expressed as follows:

$$\omega_r = \frac{\omega_1 + \omega_2}{p_1 + p_2} \quad (1)$$

where  $p$  is the pole pairs, and  $\omega$  the angular frequency. The subscripts 1, 2, and  $r$  indicate the PW, CW, and rotor, respectively.

When  $\omega_2$  is zero, the rotor is rotating at the so-called natural synchronous speed  $\omega_N$ . The rotor of the BDFIG is driven by a prime mover, such as a diesel engine or a wind turbine. To keep  $\omega_1$  constant,  $\omega_2$  should be changed with variations of the rotor speed  $\omega_r$ , and the expression of  $\omega_2$  can be deduced from Equ. (1):

$$\omega_2 = \omega_r(p_1 + p_2) - \omega_1 \quad (2)$$

It is noted that when the BDFIG is operating below  $\omega_N$ , the value of  $\omega_2$  is negative. On the other hand, when it is operating above  $\omega_N$ , the value of  $\omega_2$  is positive. The phase sequence of the negative frequency voltage and the positive frequency voltage are opposite.

The slips for the PW and CW can be defined as [18]:

$$s_1 = \frac{\omega_1 - p_1 \omega_r}{\omega_1} \quad (3)$$

$$s_2 = \frac{\omega_2 - p_2 \omega_r}{\omega_2} \quad (4)$$

From Eqns. (1), (3), and (4), the relation between  $s_1$  and  $s_2$  can be derived as:

$$\frac{s_1}{s_2} = -\frac{\omega_2}{\omega_1} \quad (5)$$

## III. STEADY-STATE MODELS OF THE BDFIG

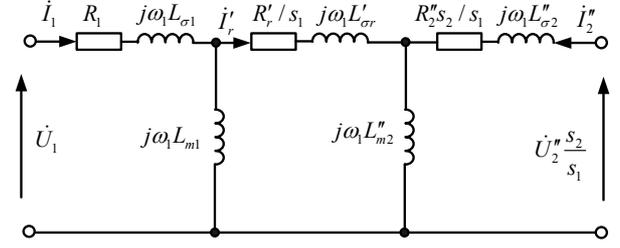


Fig. 1. Pi-type steady-state model of a BDFIG.

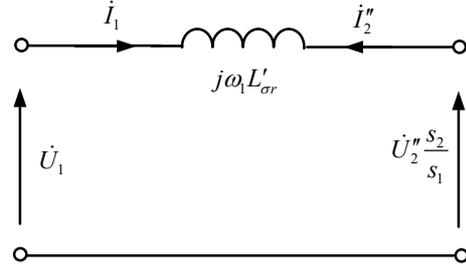


Fig. 2. Simplified inner core steady-state model of a BDFIG.

### A. Conventional Pi-Type Steady-state Model

Generally, the conventional Pi-type steady-state model [18], [20], [21] in Fig. 1 can be used to express the steady-state operation of a BDFIG. In this model, all of the parameters are referred to the PW side, ignoring the BDFIG's iron loss. In Fig. 1,  $R_1$ ,  $R_2''$  and  $R_r'$  are the PW, CW and rotor resistances;  $L_{m1}$  and  $L_{m2}''$  the PW and CW magnetizing inductances,  $L_{\sigma 1}$ ,  $L_{\sigma 2}''$  and  $L_{\sigma r}'$  are the PW, CW and rotor leakage inductances;  $\dot{U}_1$  and  $\dot{U}_2''$  are the PW and CW phase voltage vectors; and  $\dot{I}_1$ ,  $\dot{I}_2''$  and  $\dot{I}_r'$  are the PW, CW and rotor phase current vectors, respectively.

### B. Simplified Inner Core Steady-state Model and its Defect

The inner core steady-state model for a BDFIG is proposed by McMahon *et al.* [18], as shown in Fig. 2. In this model the magnetizing inductances, the stator leakage inductances, and the stator and rotor resistances are neglected. The inner core model simplifies the steady-state analysis of the BDFIG to some extent.

From Fig. 2, it is can be seen that  $\dot{I}_1 = -\dot{I}_2''$ . In addition, it is known that  $\dot{I}_1 = 0$  when the BDFIG is operating in the unloaded condition. Hence, it can be obtained that  $\dot{I}_2'' = 0$  in the unloaded condition. However, an excitation current must be supplied to the CW to generate the PW voltage in practical applications. This means that the CW current  $\dot{I}_2''$  cannot be zero when the stand-alone BDFIG is running. Therefore, the simplified inner core steady-state model does not fit the performance analysis of stand-alone BDFIGs.

### C. Proposed T-type Steady-state Model

Actually, the Pi-type steady-state model shown in Fig. 1 is

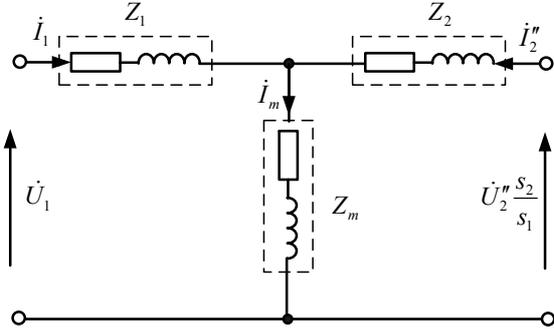


Fig. 3. T-type steady-state model of a BDFIG.

a passive linear two-port network, which can be equivalently transformed into a T-type steady-state model consisting of three impedances, as shown in Fig. 3 [24].

The parameters  $Z_1$ ,  $Z_2$ , and  $Z_m$  in Fig. 3 are derived in the Appendix. The T-type steady-state model is also a two-port network and it is similar to the steady-state model of the regular asynchronous machine. It is noted that the T-type and II-type steady-state models are almost equivalent since they both employ equivalent circuit transformations in the derivation process as described in the Appendix. According to the Appendix, the parameters  $Z_1$ ,  $Z_2$ , and  $Z_m$  can be expressed as:

$$Z_1 = \frac{j\omega_1 L_{m1} ((R_r' / s_1) + j\omega_1 L_{\sigma r}')}{j\omega_1 L_{m1} + (R_r' / s_1) + j\omega_1 L_{\sigma r}' + j\omega_1 L_{m2}''} + R_1 + j\omega_1 L_{\sigma 1} \quad (6)$$

$$Z_2 = \frac{j\omega_1 L_{m2}'' ((R_r' / s_1) + j\omega_1 L_{\sigma r}')}{j\omega_1 L_{m1} + (R_r' / s_1) + j\omega_1 L_{\sigma r}' + j\omega_1 L_{m2}''} + R_2'' \frac{s_2}{s_1} + j\omega_1 L_{\sigma 2}'' \quad (7)$$

$$Z_m = \frac{j\omega_1 L_{m1} j\omega_1 L_{m2}''}{j\omega_1 L_{m1} + (R_r' / s_1) + j\omega_1 L_{\sigma r}' + j\omega_1 L_{m2}''} \quad (8)$$

To stabilize the operation of the BDFIG, the slip  $s_1$  should be far away from zero [18]. Therefore, if the rotor resistance  $R_r'$  is ignored, the term  $R_r' / s_1$  in  $Z_1$ ,  $Z_2$ , and  $Z_m$  can also be ignored. Then the expressions of  $Z_1$ ,  $Z_2$ , and  $Z_m$  can be simplified as:

$$Z_1 = j\omega_1 (\alpha_1 + L_{\sigma 1}) + R_1 \quad (9)$$

$$Z_2 = j\omega_1 (\alpha_2 + L_{\sigma 2}'') + R_2'' \frac{s_2}{s_1} \quad (10)$$

$$Z_m = j\omega_1 \alpha_3 \quad (11)$$

where  $\alpha_1 = \frac{L_{m1} L_{\sigma r}'}{L_{m1} + L_{\sigma r}' + L_{m2}''}$ ,

$\alpha_2 = \frac{L_{m2}'' L_{\sigma r}'}{L_{m1} + L_{\sigma r}' + L_{m2}''}$ ,  $\alpha_3 = \frac{L_{m1} L_{m2}''}{L_{m1} + L_{\sigma r}' + L_{m2}''}$ .

When the PW phase voltage and phase current used as input variables, and the referred CW phase voltage and phase current are used as output variables, the T-type steady-state model in Fig. 3 can be concisely expressed by a matrix

equation as follows [24]:

$$\begin{bmatrix} \dot{U}_2'' \frac{s_1}{s_2} \\ \dot{U}_2'' \frac{s_2}{s_1} \\ \dot{I}_2'' \end{bmatrix} = \begin{bmatrix} \frac{Z_2 + Z_m}{Z_m} & -(Z_1 + Z_2 + \frac{Z_1 Z_2}{Z_m}) \\ \frac{1}{Z_m} & -\frac{Z_1 + Z_m}{Z_m} \end{bmatrix} \begin{bmatrix} \dot{U}_1 \\ \dot{I}_1 \end{bmatrix} \quad (12)$$

With its simple structure, the T-type steady-state model can be readily described by mathematical equations, which facilitates the subsequent derivation of the power and current of the BDFIG. It also provides a new approach to the performance analysis of stand-alone BDFIG systems.

#### IV. PERFORMANCE ANALYSIS OF THE STAND-ALONE BDFIG

##### A. Structure of the Stand-Alone BDFIG Operation System

The structure of a stand-alone BDFIG operation system is shown in Fig. 4. A prime mover is used to drive the rotor of the BDFIG. Two back-to-back PWM converters, a CW side converter (CSC) and a supply side converter (SSC), are connected between the PW and the CW with a LCL filter.

The CSC supplies the CW with a frequency-variable exciting current. The SSC with a LCL filter has two functions: (a) stabilizing the voltage of the DC bus at the setpoint, (b) achieving a bidirectional energy flow. The voltages and currents of the PW and CW, as well as the position of the rotor are all transmitted to the control system. They are measured by voltage sensors, current sensors, and an encoder, respectively. The PW voltage amplitude and frequency are kept constant under a variable rotor speed and load by appropriately adjusting the amplitude and frequency of the CW current.

##### B. Analysis of the Power Flow

From Fig. 3, it can be seen that:

$$\begin{cases} \dot{I}_1 Z_1 + \dot{I}_m Z_m + \dot{U}_1 = 0 \\ \dot{I}_2'' Z_2 + \dot{I}_m Z_m + \dot{U}_2'' \frac{s_2}{s_1} = 0 \\ \dot{I}_m = \dot{I}_1 + \dot{I}_2'' \end{cases} \quad (13)$$

From Equ. (13), the PW phase current vector  $\dot{I}_1$  and the CW phase current vector  $\dot{I}_2''$  can be derived as:

$$\dot{I}_1 = \frac{-\dot{U}_1 (Z_2 + Z_m) + \dot{U}_2'' \frac{s_2}{s_1} Z_m}{(Z_1 + Z_2) Z_m + Z_1 Z_2}$$

$$(14) \dot{I}_2'' = \frac{\dot{U}_1 Z_m - \dot{U}_2'' \frac{s_2}{s_1} (Z_1 + Z_m)}{(Z_1 + Z_2) Z_m + Z_1 Z_2} \quad (15)$$

The PW active power  $P_1$  and the CW active power  $P_2$  are given by:

$$P_1 = 3 \operatorname{Re} \{ \dot{U}_1 \dot{I}_1^* \} \quad (16)$$

$$P_2 = 3 \operatorname{Re} \{ \dot{U}_2'' \dot{I}_2''^* \} \quad (17)$$

Substituting Eqns. (9)-(11) and (14) into Equ. (16), and

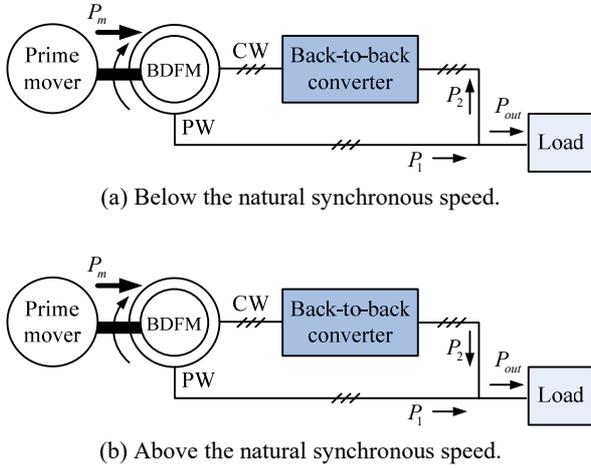


Fig. 5. Power flow of a stand-alone BDFIG operation system.

ignoring both the PW resistance  $R_1$  and the CW resistance  $R_2$  yields:

$$\begin{aligned}
 P_1 &= 3 \operatorname{Re} \left\{ \frac{-|\dot{U}_1|^2 (Z_2 + Z_m)^* + (s_2 / s_1) \dot{U}_1 (\dot{U}_2^* Z_m)^*}{[(Z_1 + Z_2) Z_m + Z_1 Z_2]^*} \right\} \\
 &= 3 \operatorname{Re} \left\{ \frac{j |\dot{U}_1|^2 (\alpha_2 + L_{\sigma 2}'' + \alpha_3) - j \alpha_3 (s_2 / s_1) \dot{U}_1 \dot{U}_2^{*}}{-\omega_1 (\alpha_1 + L_{\sigma 1} + \alpha_2 + L_{\sigma 2}'') \alpha_3 - \omega_1 (\alpha_1 + L_{\sigma 1}) (\alpha_2 + L_{\sigma 2}'')} \right\} \\
 &= -3 \alpha_3 \frac{s_2}{s_1} \operatorname{Re} \left\{ \frac{j \dot{U}_1 \dot{U}_2^{*}}{-\omega_1 (\alpha_1 + L_{\sigma 1} + \alpha_2 + L_{\sigma 2}'') \alpha_3 - \omega_1 (\alpha_1 + L_{\sigma 1}) (\alpha_2 + L_{\sigma 2}'')} \right\}
 \end{aligned} \quad (18)$$

Substituting Eqns. (9)-(11) and (15) into Equ. (17), and ignoring  $R_1$  and  $R_2$  leads to:

$$\begin{aligned}
 P_2 &= 3 \operatorname{Re} \left\{ \frac{-|\dot{U}_2|^2 (s_2 / s_1) (Z_1 + Z_m)^* + \dot{U}_2^* (\dot{U}_1 Z_m)^*}{[(Z_1 + Z_2) Z_m + Z_1 Z_2]^*} \right\} \\
 &= 3 \operatorname{Re} \left\{ \frac{j |\dot{U}_1|^2 (s_2 / s_1) (\alpha_1 + L_{\sigma 1} + \alpha_3) - j \alpha_3 \dot{U}_1 \dot{U}_2^{*}}{-\omega_1 (\alpha_1 + L_{\sigma 1} + \alpha_2 + L_{\sigma 2}'') \alpha_3 - \omega_1 (\alpha_1 + L_{\sigma 1}) (\alpha_2 + L_{\sigma 2}'')} \right\} \\
 &= -3 \alpha_3 \operatorname{Re} \left\{ \frac{j (\dot{U}_1 \dot{U}_2^{*})^*}{-\omega_1 (\alpha_1 + L_{\sigma 1} + \alpha_2 + L_{\sigma 2}'') \alpha_3 - \omega_1 (\alpha_1 + L_{\sigma 1}) (\alpha_2 + L_{\sigma 2}'')} \right\}
 \end{aligned} \quad (19)$$

From Eqns. (18) and (19), it can be obtained that:

$$\frac{P_1}{P_2} = -\frac{s_2}{s_1} \quad (20)$$

Considering Eqns. (5) and (20), it is derived that:

$$\frac{P_1}{P_2} = \frac{\omega_1}{\omega_2} \quad (21)$$

When a BDFIG is used in a stand-alone generation system, the PW always outputs power. However, below the natural synchronous speed  $\omega_N$ , the CW will absorb power from the PW, because  $\omega_2$  is negative from Equ. (2), which means that the directions of the power flow in the PW and CW are opposite according to Equ. (21). Similarly, above  $\omega_N$ , the CW will output power to the load.

The power flow of the system is shown in Fig. 5, where  $P_m$  is the mechanical input power from the prime mover,  $P_{out}$  is the active power output to the load,  $P_1$  is the PW active power, and  $P_2$  is the CW active power. If the active

power injected to the windings is defined as a negative value, and the active power extracted from the windings is defined as a positive value, the power balance equation will be the same both below and above the natural synchronous speed, which can be expressed as:

$$P_m = P_1 + P_2 = P_{out} \quad (22)$$

According to Eqns. (2), (21), and (22), the capacity of the power converter for the stand-alone BDFIG system can be determined by considering the rotor speed range, the rated power factor, and  $P_{out}$ .

### C. Steady-state Analysis of the CW Current

When the generator operates under the unloaded condition,  $\dot{I}_1$  is nearly zero. From Equ. (12), the CW current under the unloaded condition,  $\dot{I}_{2, \text{unloaded}}''$ , is expressed as:

$$\dot{I}_{2, \text{unloaded}}'' = \frac{1}{Z_m} \dot{U}_1 = \frac{L_{m1} + L_{\sigma r}' + L_{m2}''}{j \omega_1 L_{m1} L_{m2}''} \dot{U}_1 \quad (23)$$

From Equ. (23),  $\dot{I}_{2, \text{unloaded}}''$  is independent of the rotor speed. The amplitude of the unreferred  $\dot{I}_{2, \text{unloaded}}$  is:

$$|\dot{I}_{2, \text{unloaded}}| = \frac{n_1}{n_2} |\dot{I}_{2, \text{unloaded}}''| = \frac{n_2 (L_{m1} + L_{\sigma r}' + L_{m2}'')}{n_1 \omega_1 L_{m1} L_{m2}''} |\dot{U}_1| \quad (24)$$

where  $n_1$  is the ratio of the stator to the rotor turns for the PW, and  $n_2$  is the ratio for the CW. Based on Equ. (24), if the amplitude of  $\dot{U}_1$  is invariable, then  $|\dot{I}_{2, \text{unloaded}}|$  is constant at different rotor speeds.

Generally, from Equ. (12), the referred CW current  $\dot{I}_2''$  under load can be expressed as:

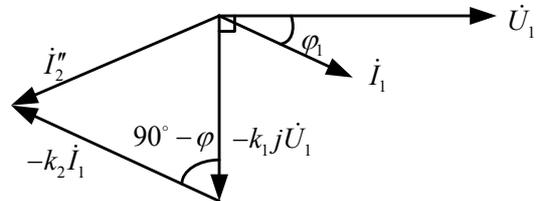


Fig. 6. Phasor diagram derived from Equ. (26).

$$\begin{aligned}
 \dot{I}_2'' &= \frac{1}{Z_m} \dot{U}_1 - \frac{Z_1 + Z_m}{Z_m} \dot{I}_1 \\
 &= \frac{L_{m1} + L_{\sigma r}' + L_{m2}''}{j \omega_1 L_{m1} L_{m2}''} \dot{U}_1 \\
 &\quad - \frac{(R_1 + j \omega_1 L_{\sigma 1}) (L_{m1} + L_{\sigma r}' + L_{m2}'') + j \omega_1 L_{m1} (L_{\sigma r}' + L_{m2}'')}{j \omega_1 L_{m1} L_{m2}''} \dot{I}_1
 \end{aligned} \quad (25)$$

If the PW resistance  $R_1$  is ignored, the referred CW current  $\dot{I}_2''$  can be further simplified as:

$$\dot{I}_2'' = -k_1 j \dot{U}_1 - k_2 \dot{I}_1 \quad (26)$$

$$\text{where } k_1 = \frac{L_{m1} + L'_{\sigma r} + L''_{m2}}{\omega_1 L_{m1} L''_{m2}},$$

$$k_2 = \frac{L_{\sigma 1}(L_{m1} + L'_{\sigma r} + L''_{m2}) + L_{m1} L'_{\sigma r} + L_{m1} L''_{m2}}{L_{m1} L''_{m2}}.$$

If the PW power factor is  $\cos\phi_1$ , a phasor diagram derived from Equ. (26) can describe the relation between  $\dot{U}_1$ ,  $\dot{I}_1$ , and  $\dot{I}_2$ , as shown in Fig. 6. According to the Cosine Law, the amplitude of the CW current is:

$$|\dot{I}_2| = n_1 \sqrt{(k_1 |\dot{U}_1|)^2 + (k_2 |\dot{I}_1|)^2 - 2k_1 k_2 |\dot{U}_1| |\dot{I}_1| \sin\phi_1} / n_2 \quad (27)$$

Considering that  $n_r = 60 \frac{\omega_r}{2\pi}$ ,  $f_1 = \frac{\omega_1}{2\pi}$ , and  $f_2 = \frac{\omega_2}{2\pi}$ , from Eqns. (2), (21), and (22), it can be obtained that:

$$P_1 = \frac{60 f_1}{n_r (p_1 + p_2)} P_{out} \quad (28)$$

where  $n_r$  is the rotor speed in r/min, while  $f_1$  and  $f_2$  are current frequencies of the PW and CW, respectively.

It should be noted that:

$$P_1 = 3 |\dot{U}_1| |\dot{I}_1| \cos\phi_1 \quad (29)$$

Combining Eqns. (28) and (29), the amplitude of the PW current  $|\dot{I}_1|$  can be finally expressed as:

$$|\dot{I}_1| = \frac{20 f_1}{n_r (p_1 + p_2)} \frac{P_{out}}{|\dot{U}_1| \cos\phi_1} \quad (30)$$

If the load power factor is  $\cos\phi_L$  and the load impedance is  $Z_L$ , the BDFIG's output active power  $P_{out}$  can be expressed as:

$$P_{out} = 3 |\dot{U}_1|^2 \cos\phi_L / |Z_L| \quad (31)$$

By substituting Equ. (31) into Equ. (30), the amplitude of the PW current  $|\dot{I}_1|$  can be derived as follows:

$$|\dot{I}_1| = m |\dot{U}_1| \quad (32)$$

where  $m = \frac{60 f_1 \cos\phi_L}{n_r (p_1 + p_2) \cos\phi_1 |Z_L|}$ .  $m$  is constant when the generator's rotor speed and load are constant. According to Eqns. (27) and (32), the CW current under the loaded condition can be calculated by the following expression:

$$|\dot{I}_2| = n_1 |\dot{U}_1| \sqrt{k_1^2 + k_2^2 m^2 - 2k_1 k_2 m \sin\phi_1} / n_2 \quad (33)$$

By using Eqns. (24) and (33), it is possible to predetermine the steady-state amplitude of the CW current under the unloaded and loaded conditions.

## V. EXPERIMENTAL INVESTIGATIONS

### A. Experimental Setup

To investigate the performance of the stand-alone BDFIG operation system, an experimental setup has been established, as shown in Fig. 7. All of the experiments are performed on a

prototype BDFIG, whose detailed parameters are listed in

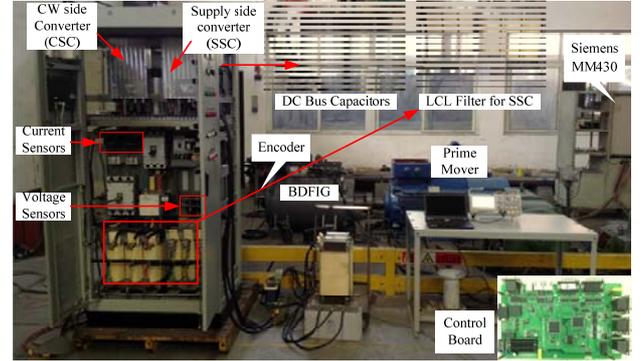


Fig. 7. Photograph of the experimental setup.

Table I. A 37 kW three-phase AC asynchronous motor as the prime mover is mechanically coupled to the BDFIG and driven by a Siemens MM430 converter. Four film capacitors are connected in parallel to the DC bus, and each capacitor has a capacitance of 4000uF and a rated DC voltage of 800V. The voltages and currents of the PW and CW are measured by LEM LV 100 and LEM LT 208-S7/SP1 sensors, respectively. The position of the rotor is measured by an incremental encoder (RHI90 from P+F Co.) with a resolution of 1024 cycles/r. The controller board is designed on basis of an ARM (Freescale MK60FN1M0VLQ12) and a FPGA (Altera Cyclone IV). A load cabinet provides the loads required for the generator with six sets of three-phase symmetrical resistances of 100  $\Omega$  each phase.

The control scheme is implemented by the double closed-loop control system based on the PW voltage and CW current. The cycle time of the program is 250  $\mu$ s, and all of the control parameters are tuned through experiments. A detailed description of the control scheme has been presented

TABLE I.  
PROTOTYPE BDFIG SPECIFICATION.

Parameter	Value	Parameter	Value
Frame size	D250	$R_1$	0.4036 $\Omega$
PW pole pairs	1	$R_2''$	0.4430 $\Omega$
CW pole pairs	3	$R_r'$	0.7852 $\Omega$
Natural synchronous speed	750 r/min	$L_{\sigma 1}$	4.321 mH
Speed range	600~1500 r/min	$L_{\sigma 2}''$	2.199 mH
PW rated voltage	400 V	$L'_{\sigma r}$	8.217 mH
PW rated current	40 A	$L_{m1}$	470.8 mH
CW rated voltage	380 V	$L''_{m2}$	50.98 mH
CW rated current	40A	Rotor design	Wound rotor

in [22].

### B. Improvement of the Start-Up Scheme for a Stand-Alone BDFIG System

Since the DC bus capacitors are uncharged before the

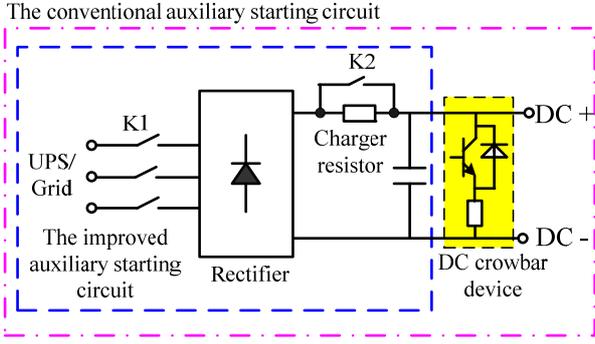


Fig. 8. Auxiliary circuit for starting a stand-alone BDFIG system.

stand-alone BDFIG system startup, the CSC cannot run or provide the CW with exciting current. Thus, an auxiliary circuit should be added to the system to pre-charge the DC bus capacitors. The conventional auxiliary circuit for the starting of the stand-alone BDFIG system is shown in Fig. 8. The start-up process of the stand-alone BDFIG operation system can be summarized as follows. First of all, the switch K1 is turned on and the rectifier begins to work. The charger resistor ensures that the charging current will not be too large. Then when the DC bus voltage is stabilized, the switch K2 is turned on to shorten the charger resistor. After that the CSC starts running to supply the CW with exciting current. Next, the SSC is started after the PW voltage rises to the set point. Finally, the switches K1 and K2 are turned off and the whole start-up process ends. Since the SSC is started after the CSC begins working, in the conventional auxiliary circuit, a DC crowbar device is used to avoid an excessively high DC bus voltage from the power output of the CW above the natural synchronous speed.

According to Eqns. (21) and (22), the CW active power can be expressed as:

$$P_2 = \omega_2 P_{out} / (\omega_1 + \omega_2) \quad (34)$$

Generally, in practical applications, a stand-alone BDFIG system is started without loads. Under the unloaded condition,  $P_{out}$  is zero. Thus,  $P_2$  is zero at different rotor speeds,

indicating that the power flow between the CW and the back-to-back converter is zero. Therefore, at no load, the BDFIG-based stand-alone power generation system can be started both below and above the natural synchronous speed without the power output of the CW. Therefore, the DC crowbar device can be removed to reduce the system cost. The improved auxiliary circuit for the stand-alone BDFIG system starting is also shown in Fig. 8.

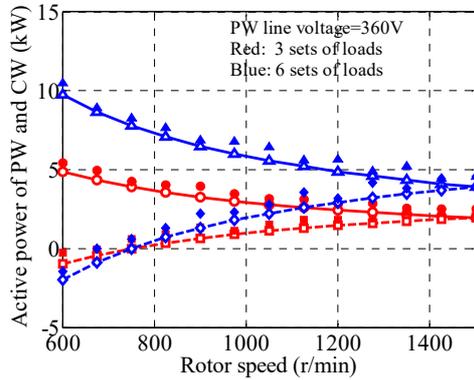
In addition, the analysis results from Section IV show that when the generator runs under the unloaded condition, the CW current amplitude can be invariable to obtain a constant PW voltage amplitude and frequency at different rotor speeds. Therefore, according to Equ. (24), a constant CW current amplitude command can be used as a feedforward quantity in the controller at any rotor speeds when the stand-alone BDFIG system is started. Given this, the start-up speed of the stand-alone BDFIG system can be improved.

### C. Experimental Results and Analysis

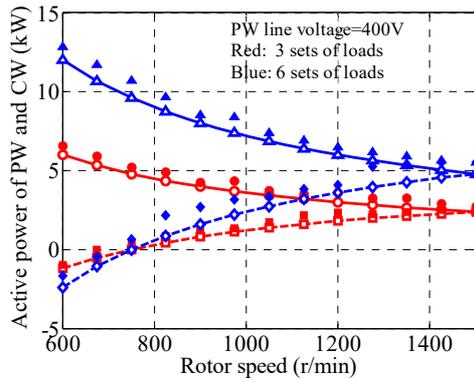
#### 1) Experiment A

Fig. 9 shows the active power of the PW and CW of the prototype BDFIG under different load conditions, rotor speeds, and PW line voltages. The calculated values are obtained by using Eqns. (21) and (22). The experimental results are gained by the measured voltage and current of the PW and CW as well as the equations  $P_1 = 3|\dot{U}_1| |\dot{I}_1| \cos \phi_1$  and  $P_2 = 3|\dot{U}_2| |\dot{I}_2| \cos \phi_2$ . As can be seen from Fig. 9, the experimental results are in good agreement with the calculated results. However, the sum of the experimental active power of the PW and CW is a little larger than  $P_{out}$  (the active power of the loads). The main reason for this is the active power loss in the two back-to-back converters. The natural synchronous speed of the prototype BDFIG,  $n_N$ , is 750 r/min. It can be found that active power flows into the CW below  $n_N$  and that it flows out of the CW above  $n_N$ . This means that the CW consumes power below  $n_N$  and outputs power to the loads above  $n_N$ .

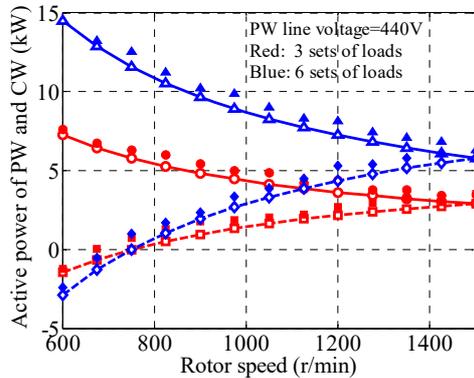
#### 2) Experiment B



(a) PW line voltage of 360 V.



(b) PW line voltage of 400 V.



(c) PW line voltage of 440 V.

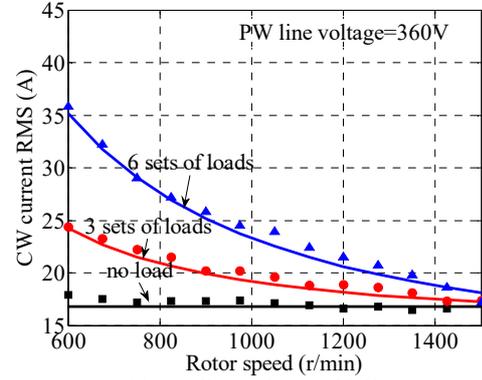
Fig. 9. Active power of the PW and CW under different loaded conditions, rotor speeds, and PW voltages. Each set of loads is a three-phase symmetrical resistance of  $100 \Omega$  for each phase.

Calculated:

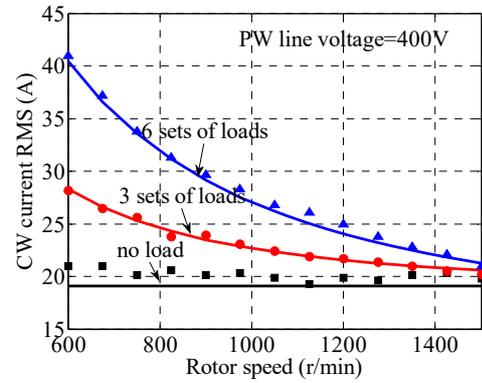
- : PW active power under three sets of loads.
- : CW active power under three sets of loads.
- △: PW active power under six sets of loads.
- ◇: CW active power under six sets of loads.

Experimental:

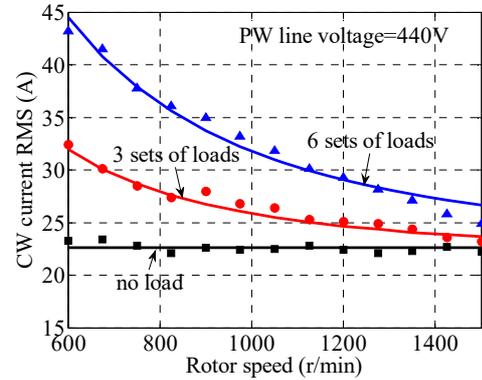
- : PW active power under three sets of loads.
- : CW active power under three sets of loads.
- ▲: PW active power under six sets of loads.
- ◆: CW active power under six sets of loads.



(a) PW line voltage of 360 V.



(b) PW line voltage of 400 V.



(c) PW line voltage of 440 V.

Fig. 10. CW rms current under different load conditions, rotor speeds, and PW line voltages. Each set of loads is a three-phase symmetrical resistance of  $100 \Omega$  for each phase.

Calculated:

black line: no load; red line: three sets of loads; blue line: six sets of loads.

Experimental:

- : no load; ●: three sets of loads; ▲: six sets of loads.

The CW rms current of the prototype BDFIG under different load conditions, rotor speeds, and PW line voltages are given in Fig. 10. The calculated results are obtained by using Eqns. (24) and (33). The experimental results match the calculated results very well, which verifies the validity of Eqns. (24) and (33). As shown in Fig. 10, at no load, the CW

rms current under different rotor speeds is almost the same, which meets the analysis results in Section IV. When loads are connected with the BDFIG, the CW rms current decreases with an increasing rotor speed during the entire speed range. This means that the load-carrying capacity of the generation system is stronger at higher speeds. In fact, when the generator is operating at a 600 r/min rotor speed under six sets of loads and a 400 V PW line voltage, the CW rms current is over 40 A (the CW rated current). However, it is just 22 A at a 1500 r/min rotor speed under the same load condition and PW line voltage.

Experiments A and B indicate that the stand-alone BDFIG operation system has better performance above natural synchronous speeds. Therefore, in stand-alone generation systems, the BDFIG should work above the natural synchronous speed as much as possible.

## VI. CONCLUSION

This paper investigated the performance characteristics of stand-alone BDFIG operation systems. A new T-type steady-state model for BDFIGs is developed, which is more concise than the conventional  $\Pi$ -type steady-state model and more suitable for the analysis of stand-alone BDFIGs. Then based on the T-type steady-state model, the power flow of the stand-alone BDFIG operation system is investigated through detailed mathematical derivation. In addition, the steady-state characteristics of the CW current in the stand-alone BDFIG are analyzed by means of theoretical calculation. The analyzed results show that the CW current amplitude under the unloaded condition is constant at different rotor speeds. The predictive equation for the CW current amplitude under different loads and rotor speeds is also derived. Operation experiments of the stand-alone BDFIG system have been carried out on a prototype BDFIG to confirm the validity of the theoretical analysis.

The analyzed results can contribute to improving the control systems for actual industrial applications. Firstly, the analyzed results of the power flow are useful to accurately determine the capacity of a power converter according to the rotor speed range and to simplify the auxiliary circuit for the starting of the stand-alone BDFIG. Secondly, the analyzed results of the CW current are helpful to accelerate BDFIG startup by adding an accurate CW current feedforward to the controller. Finally, all of the analyzed results show that, for the actual application of stand-alone power generation, a BDFIG should be selected whose natural synchronous speed is below the prime mover speed as much as possible.

Work is underway to further improve the dynamic performance of the stand-alone BDFIG system.

## APPENDIX

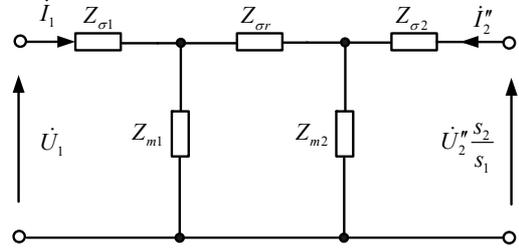


Fig. 11. Concise representation of the  $\Pi$ -type steady state model.

## DERIVATION OF THE PARAMETERS OF THE T-TYPE STEADY-STATE MODEL

In order to facilitate the derivation of the T-type steady-state model, the  $\Pi$ -type steady-state model shown in Fig. 1 is presented in a concise form, as shown in Fig. 11, where the model parameters are defined as:

$$\begin{cases} Z_{\sigma 1} = R_1 + j\omega_1 L_{\sigma 1} \\ Z_{\sigma r} = R_r' / s_1 + j\omega_1 L_{\sigma r}' \\ Z_{\sigma 2} = R_2'' s_2 / s_1 + j\omega_1 L_{\sigma 2}'' \\ Z_{m1} = j\omega_1 L_{m1} \\ Z_{m2} = j\omega_1 L_{m2}'' \end{cases} \quad (35)$$

The  $\Pi$ -type steady-state model shown in Fig. 11 is actually a passive linear two-port network, whose external characteristics can be described as follows [24]:

$$\begin{cases} \dot{U}_1 = Z_{11} \dot{I}_1 + Z_{12} \dot{I}_2'' \\ s_2 \dot{U}_2'' / s_1 = Z_{21} \dot{I}_1 + Z_{22} \dot{I}_2'' \end{cases} \quad (36)$$

where  $Z_{11}$ ,  $Z_{12}$ ,  $Z_{21}$ , and  $Z_{22}$  are the open-circuit impedance parameters for the two-port network, and can be derived as follows [24]:

$$Z_{11} = \left. \frac{\dot{U}_1}{\dot{I}_1} \right|_{\dot{I}_2''=0} = \frac{Z_{m1}(Z_{\sigma r} + Z_{m2})}{Z_{m1} + Z_{\sigma r} + Z_{m2}} + Z_{\sigma 1} \quad (37)$$

$$Z_{21} = \left. \frac{s_2 \dot{U}_2'' / s_1}{\dot{I}_1} \right|_{\dot{I}_2''=0} = \frac{Z_{m1} Z_{m2}}{Z_{m1} + Z_{\sigma r} + Z_{m2}} \quad (38)$$

$$Z_{12} = \left. \frac{\dot{U}_1}{\dot{I}_2''} \right|_{\dot{I}_1=0} = \frac{Z_{m1} Z_{m2}}{Z_{m1} + Z_{\sigma r} + Z_{m2}} \quad (39)$$

$$Z_{22} = \left. \frac{s_2 \dot{U}_2'' / s_1}{\dot{I}_2''} \right|_{\dot{I}_1=0} = \frac{Z_{m2}(Z_{\sigma r} + Z_{m1})}{Z_{m1} + Z_{\sigma r} + Z_{m2}} + Z_{\sigma 2} \quad (40)$$

From Eqns. (38) and (39), it can be seen that  $Z_{12} = Z_{21}$ . Then rearranging Eq. (36), the external characteristics of the  $\Pi$ -type steady-state model can be rewritten as:

$$\begin{cases} \dot{U}_1 = (Z_{11} - Z_{12}) \dot{I}_1 + Z_{12} (\dot{I}_1 + \dot{I}_2'') \\ s_2 \dot{U}_2'' / s_1 = Z_{12} (\dot{I}_1 + \dot{I}_2'') + (Z_{22} - Z_{12}) \dot{I}_2'' \end{cases} \quad (41)$$

According to Ohm's law, the following expression can be obtained from the T-type steady-state model as shown in Fig. 3:

$$\begin{cases} \dot{U}_1 = Z_1 \dot{I}_1 + Z_m (\dot{I}_1 + \dot{I}_2'') \\ s_2 \dot{U}_2'' / s_1 = Z_m (\dot{I}_1 + \dot{I}_2'') + Z_2 \dot{I}_2'' \end{cases} \quad (42)$$

Comparing Eqns. (41) and (42), the following results are obtained:

$$\begin{cases} Z_1 = Z_{11} - Z_{12} \\ Z_2 = Z_{22} - Z_{12} \\ Z_m = Z_{12} \end{cases} \quad (43)$$

By substituting Eqns. (37)-(40) into Equ. (43), the relations between the T-type and II-type steady-state model can be derived as:

$$\begin{cases} Z_1 = \frac{Z_{m1}Z_{\sigma r}}{Z_{m1} + Z_{\sigma r} + Z_{m2}} + Z_{\sigma 1} \\ Z_2 = \frac{Z_{m2}Z_{\sigma r}}{Z_{m1} + Z_{\sigma r} + Z_{m2}} + Z_{\sigma 2} \\ Z_m = \frac{Z_{m1}Z_{m2}}{Z_{m1} + Z_{\sigma r} + Z_{m2}} \end{cases} \quad (44)$$

Then the Eqns. (6)-(8) for the parameters of the T-type steady-state model can be obtained by substituting Equ. (35) into Equ. (44).

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