

# A Novel Harmonic Identification Algorithm for the Active Power Filters in Non-Ideal Voltage Source Systems

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## Abstract

This paper describes an intensive analysis of a harmonic identification algorithm in non-ideal voltages source systems. The  $dq$ -axis Fourier with a positive sequence voltage detector (DQFP) is a novel harmonic identification algorithm for active power filters. A compensating current control system based on repetitive control is presented. A design and stability analysis of the proposed current control are also given. The aim of the paper is to achieve a robustness of the harmonic identification in a distorted and unbalanced voltage source. The proposed ideas are supported by a hardware in the loop technique based on a eZdsp<sup>™</sup> F28335 and the Simulink program. The obtained results are presented to demonstrate the performance of the harmonic identification and the control strategy for the active power filter in non-ideal systems.

**Key words:**  $dq0$ -axis with a Fourier algorithm (DQF), positive sequence voltage detector (PSVD), repetitive control, active power filter (APF), harmonic elimination, three-phase four-wire system

## I. INTRODUCTION

Nonlinear loads connected to an electric power system can generate harmonic into the utility source. These harmonics have numerous effects on electric power systems [1] such as motors and generators [2, 3], transformers [4], power cables [5], capacitors [6], electronic equipment, metering [7], switchgear and relaying [8] and static power converters.

In order to solve these problems, an efficient method is the active power filter (APF) [9]. The APF acts as a current source to compensate the harmonic currents at the point of common coupling (PCC). In general power systems, nonlinear loads often behave as an unbalanced condition. Therefore, an APF is a suitable structures for three-phase four-wire systems. There

are many structures to solve these problems [10]. The three-leg split-capacitor topology with six IGBTs is used in this paper since this structure provides a good performance for harmonic elimination and it uses a lower number of power semiconductor devices.

In realistic conditions, the waveforms of the voltage source can be distorted and unbalanced [11]. A non-ideal voltage source causes unwanted components in the process of harmonic identification and in the control strategy. There are significant errors in the reference current calculation.

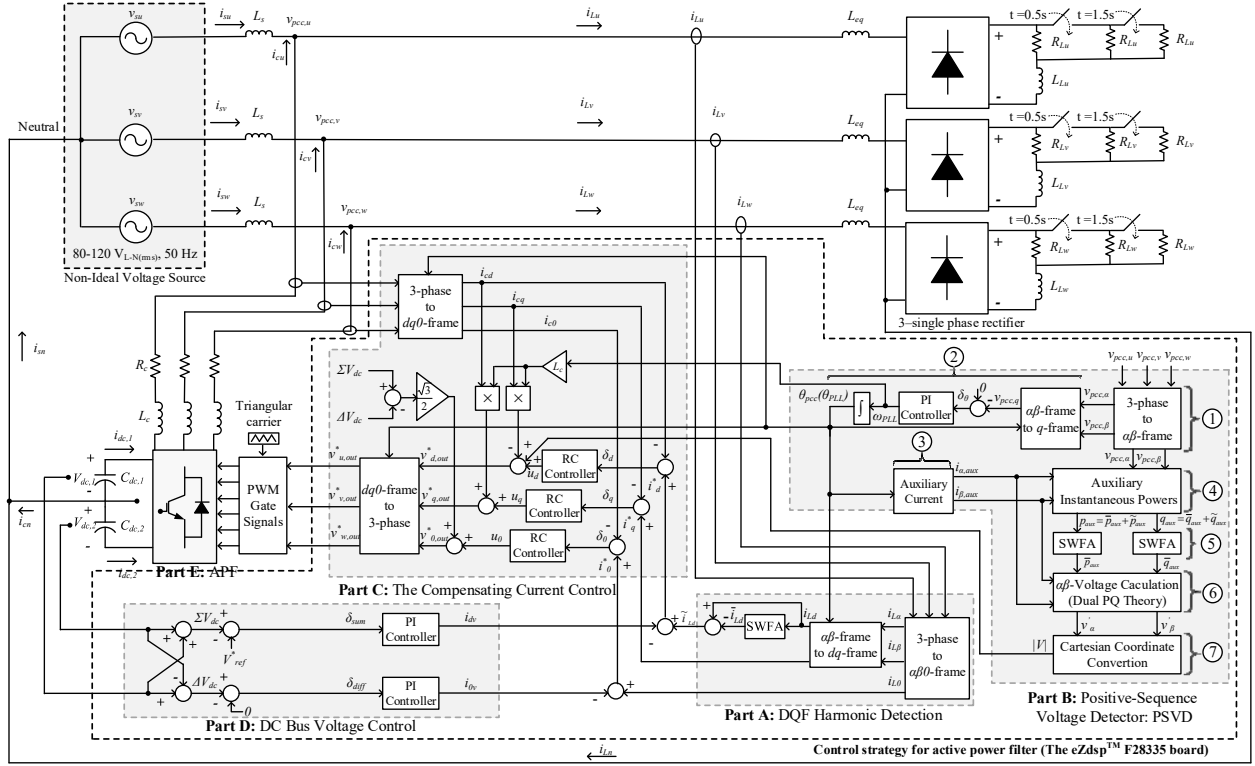


Fig. 1. The considered power system and control strategy.

In a literature review, it was found that the positive sequence voltage detector (PSVD) was presented in 1997 by Aredes et al [12]. The PSVD is an approach to detect distorted and unbalanced voltage sources. In the conventional PSVD algorithm, analog filters (LPF or HPF) are used to obtain the fundamental component. The characteristic of an analog filter is its non-ideal response. Therefore, an analog filter is not perfect for drawing the fundamental component. In order to enhance the performance of the PSVD, the sliding window Fourier analysis (SWFA) is applied [13] in this paper. The fundamental component can be accurately calculated by using the SWFA. There are many harmonic identification techniques for calculating reference currents such as the instantaneous power theory (PQ) [14], the synchronous reference frame (SRF) or  $dq$ -axis method (DQ) [15], the synchronous detection (SD) method [16], the a-b-c reference frame method [17]. Harmonic identification techniques for non-ideal voltage source systems have been reported in previous publications. These techniques include the perfect harmonic cancellation theory [18], the fuzzy instantaneous power theory [19], the modified instantaneous power theory [20], a synchronous reference frame with a self-tuning filter [21], a modified  $p$ - $q$  theory based method [22], the non-iterative optimized algorithm [23, 24], a synchronous reference frame based on a modified phase locked loop (PLL) [25].

In 2007, the  $dq$ -axis with Fourier (DQF) method was developed [26]. In an ideal voltage source, the reference current can be precisely calculated by this method. The DQF

method provides a fast calculation time and the flexibility to operate with an APF [27, 28]. Therefore, the prominent points of the DQF and the PSVD algorithms referred to as  $dq$ -axis Fourier with a positive voltage detector (DQFP) are applied for the harmonic identification part. The reference current can be accurately achieved by the proposed harmonic identification even though the voltage source is distorted and unbalanced.

Compensating current control is an important part for the tracking performance of the compensating currents. Several strategies to control the compensating current injection can be found in previous publications such as predictive controllers [29, 30, 35], PI controllers [31, 32], the pole-zero cancellation technique [33], the sliding mode controller [34], the one cycle controller [36], repetitive controllers [37, 38], a proportional plus resonant controller [38], and a neural network [39]. Repetitive control (RT) [37, 38] is used in this paper. This controller is an excellent mechanism to track an unknown periodic reference input. It can be operated to reduce tracking errors.

The considered power systems in the paper are non-ideal voltage source systems. For this reason, the experimental setup is not presented. However, the hardware in the loop (HIL) technique is applied to simulate the proposed harmonic identification and the overall control strategy.

This paper is structured as follows. The mathematical operations of the harmonic orders in non-ideal voltage source systems can be derived as presented in Section II. Section III describes an analysis of the harmonic orders in non-ideal voltage source systems. The principle of the DQF with the

proposed PSVD is clearly explained in Section IV. In Section V, the design procedure and a stability analysis of the compensating current control system based on a RT controller are presented. Furthermore, Section VI presents the hardware in the loop technique to simulate the harmonic elimination of the considered system. Simulation results demonstrating the performance of the proposed harmonic identification and a discussion are shown in section VII. Finally, Section VIII concludes the paper.

## II. ANALYSIS OF THE MAGNITUDE AND PHASE ANGLE OF THE PCC VOLTAGES IN NON-IDEAL VOLTAGE SOURCE SYSTEMS

This section studies the effect of distorted and unbalanced voltage source systems on the control strategy performance. The magnitude and phase angle of the PCC voltages are a part of the computation for DQF harmonic identification and the compensating current control. Therefore, these effect can generate the disturbance signals that cause the control strategy to provide the inexact reference voltages of the APF ( $v_{uvw}^*$ ).

### A. Distorted Voltage Source

Voltage distortion is produced by the relationship between the harmonic current and the source impedance [40]. In this study, the voltage source ( $v_{pcc,uvw}$ ) is defined as illustrated in Fig. 2 (a).  $v_{pcc,uvw}$  consists of the balanced fundamental

component ( $\bar{v}_{pcc,uvw}$ ) and the balanced harmonic components ( $\tilde{v}_{pcc,uvw}$ ). In the distorted voltage source condition, the magnitudes of the PCC voltages in Fig. 2 (b) have no effect on the control strategy. It can be seen that the actual magnitude of the PCC voltages ( $|V|$ ) calculated by Eq. (1) can track the exact magnitude of the PCC voltages ( $|V|^*$ ). However, the phase angle of the PCC voltages has an effect on the control strategy because the actual phase angle of the PCC voltages ( $\theta_{pcc}$ ) has an error value when compared with the exact phase angle of the PCC voltages ( $\theta_{pcc}^*$ ), as shown in Fig. 2 (c). The value of  $\theta_{pcc}$  can be calculated by Eq. (2).

$$|V| = \left( \left( \sum_{\substack{h=3k+1, h=3k+1 \\ k=0,1,2,\dots}}^{\infty} V_{pcc,h} \sin(h\alpha t) \right)^2 + \left( \sum_{\substack{h=3k+2 \\ k=0,1,2,\dots}}^{\infty} V_{pcc,h} \cos(h\alpha t) - \sum_{\substack{h=3k+1 \\ k=0,1,2,\dots}}^{\infty} V_{pcc,h} \cos(h\alpha t) \right)^2 \right)^{1/2} \quad (1)$$

$$\theta_{pcc} = \omega_m t + \phi_m = \tan^{-1} \left( \frac{\sum_{\substack{h=3k+2 \\ k=0,1,2,\dots}}^{\infty} V_{pcc,h} \cos(h\alpha t) - \sum_{\substack{h=3k+1 \\ k=0,1,2,\dots}}^{\infty} V_{pcc,h} \cos(h\alpha t)}{\sum_{\substack{h=3k+1, h=3k+1 \\ k=0,1,2,\dots}}^{\infty} V_{pcc,h} \sin(h\alpha t)} \right) \quad (2)$$

where:  $V_{pcc,h} = V_{pcc(u),h} = V_{pcc(v),h} = V_{pcc(w),h}$ .

### B. Unbalanced Voltage Source

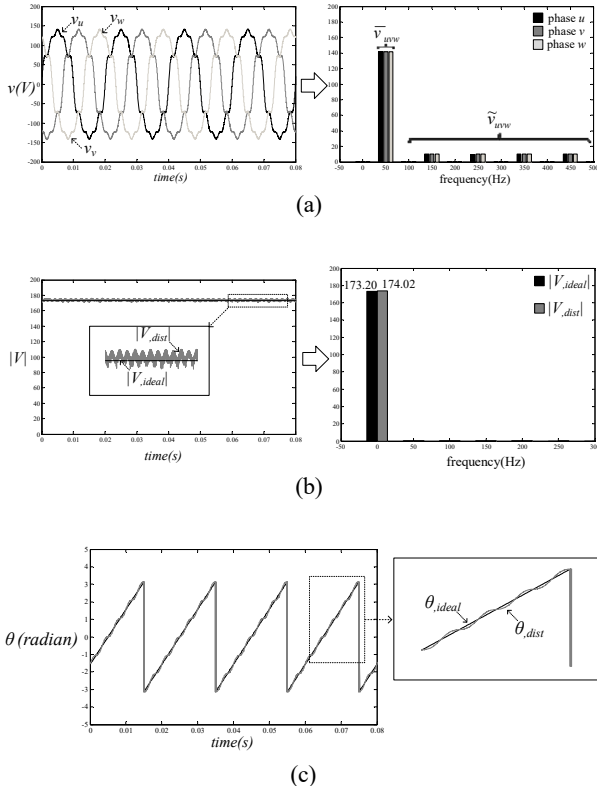


Fig. 2. Condition and effect of the distorted voltage source: (a) waveforms and spectrums of the voltage source; (b) magnitude and spectrum of the PCC voltages; (c) phase angle of the PCC voltages.

Malfunctions of electric power equipment and non-symmetrical loads cause an unbalanced voltage source [41]. The voltage source ( $v_{pcc,uvw}$ ) defined in Fig. 3 (a) is considered for this study. The amplitude of the voltage source is unbalanced. The harmonic components are neglected.

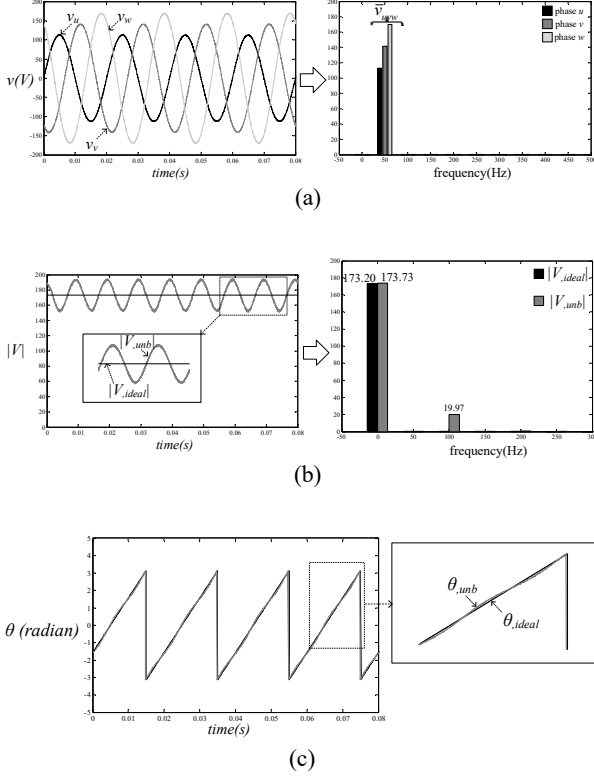


Fig. 3. Condition and effect of the unbalanced voltage source: (a) waveforms and spectrums of the voltage source; (b) magnitude and spectrum of the PCC voltages; (c) phase angle of the PCC voltages.

In this condition, the  $|V|$  calculated by Eq. (3) is an oscillating waveform as shown in Fig. 3 (b) because the spectrum of the harmonic order appears at 100 Hz ( $|\tilde{V}|$ ). This component has an effect on the control strategy. Moreover,  $\theta_{pcc}$  has an error value when compared with  $\theta_{pcc}^*$ . From Fig. 3 (c),  $\theta_{pcc}$  can be derived and given in Eq. (4).

$$|V| = \sqrt{\frac{2}{3}} \left( \begin{array}{c} \left( V_{pccu}^2 \sin^2(\alpha t + \phi_u) + V_{pccv}^2 \sin^2(\alpha t + \phi_v) \right)^{1/2} \\ + V_{pccw}^2 \sin^2(\alpha t + \phi_w) \\ - \left( V_{pccu} V_{pccv} \sin(\alpha t + \phi_u) \sin(\alpha t + \phi_v) \right) \\ + V_{pccv} V_{pccw} \sin(\alpha t + \phi_v) \sin(\alpha t + \phi_w) \\ + V_{pccw} V_{pccu} \sin(\alpha t + \phi_w) \sin(\alpha t + \phi_u) \end{array} \right) \quad (3)$$

$$\theta_{pcc} = \omega_m t + \phi_m = \tan^{-1} \left( \frac{\sqrt{3} \left( \begin{array}{c} V_{pccv} \sin(\alpha t + \phi_v) \\ - V_{pccw} \sin(\alpha t + \phi_w) \end{array} \right)}{\left( \begin{array}{c} 2V_{pccu} \sin(\alpha t + \phi_u) - V_{pccv} \sin(\alpha t + \phi_v) \\ - V_{pccw} \sin(\alpha t + \phi_w) \end{array} \right)} \right) \quad (4)$$

### III. ANALYSIS OF THE HARMONIC ORDERS IN NON-IDEAL VOLTAGE SOURCE SYSTEMS

In non-ideal voltage source systems, the PCC voltages ( $v_{pcc,u}$ ,  $v_{pcc,v}$ ,  $v_{pcc,w}$ ) can be explained by Eq. (5). The harmonic orders of the PCC voltages in the positive, negative and zero sequences are denoted by  $+m$ ,  $-m$  and  $0m$ , respectively. The load currents ( $i_{Lu}$ ,  $i_{Lv}$ ,  $i_{Lw}$ ) can be expressed by Eq. (6). The harmonic orders of the load currents in the positive, negative and zero sequences are denoted by  $+n$ ,  $-n$  and  $0n$ , respectively.

$$\left. \begin{array}{l} v_{pccu} = V_{0m} \sin(\omega_m t + \phi_{0m}) + V_{+m} \sin(\omega_m t + \phi_{+m}) + V_{-m} \sin(\omega_m t + \phi_{-m}) \\ v_{pccv} = V_{0m} \sin(\omega_m t + \phi_{0m}) + V_{+m} \sin(\omega_m t + \phi_{+m} - \frac{2\pi}{3}) + V_{-m} \sin(\omega_m t + \phi_{-m} + \frac{2\pi}{3}) \\ v_{pccw} = V_{0m} \sin(\omega_m t + \phi_{0m}) + V_{+m} \sin(\omega_m t + \phi_{+m} + \frac{2\pi}{3}) + V_{-m} \sin(\omega_m t + \phi_{-m} - \frac{2\pi}{3}) \end{array} \right\} \quad (5)$$

$$\left. \begin{array}{l} i_{Lu} = I_{0n} \sin(\omega_n t + \delta_{0n}) + I_{+n} \sin(\omega_n t + \delta_{+n}) + I_{-n} \sin(\omega_n t + \delta_{-n}) \\ i_{Lv} = I_{0n} \sin(\omega_n t + \delta_{0n}) + I_{+n} \sin(\omega_n t + \delta_{+n} - \frac{2\pi}{3}) + I_{-n} \sin(\omega_n t + \delta_{-n} + \frac{2\pi}{3}) \\ i_{Lw} = I_{0n} \sin(\omega_n t + \delta_{0n}) + I_{+n} \sin(\omega_n t + \delta_{+n} + \frac{2\pi}{3}) + I_{-n} \sin(\omega_n t + \delta_{-n} - \frac{2\pi}{3}) \end{array} \right\} \quad (6)$$

The values of  $i_{L(uvw)}$  are transformed to the  $dq0$ -axis ( $i_{Ld}$ ,  $i_q^*$ ,  $i_{L0}$ ) as shown in Eq. (7)-(9), respectively. The values of  $i_{Ld}$ ,  $i_q^*$  and  $i_{L0}$  can be separated into the fundamental component (DC component) ( $\bar{i}_{Ld}$ ,  $\bar{i}_{Lq}$ ) and the harmonic components (AC component) ( $\tilde{i}_{Ld}$ ,  $\tilde{i}_{Lq}$ ,  $\tilde{i}_{L0}$ ). It can be observed in Eq. (7) and (8) that the DC components of the load currents on the  $dq$ -axis ( $\bar{i}_{Ld}$ ,  $\bar{i}_{Lq}$ ) can be computed from the harmonic current and voltage in the same order ( $m=n$ ). On the other hand, the AC components of the load currents on the  $dq$ -axis ( $\tilde{i}_{Ld}$ ,  $\tilde{i}_{Lq}$ ) can be derived from the different harmonic order ( $m \neq n$ ). The DC and AC components of the load current on the  $d$ -axis at the  $m^{\text{th}}$  and  $n^{\text{th}}$  harmonic orders are denoted by  $\bar{i}_{Ld(m,n)}$  and  $\tilde{i}_{Ld(m,n)}$ , respectively. The values of  $\bar{i}_{Lq(m,n)}$  and  $\tilde{i}_{Lq(m,n)}$  denote the DC and AC components of the load current on the  $q$ -axis at the  $m^{\text{th}}$  and  $n^{\text{th}}$  harmonic orders. Note that the subscript "m" is the harmonic orders of the PCC voltages and that "n" is the harmonic orders of the load currents.

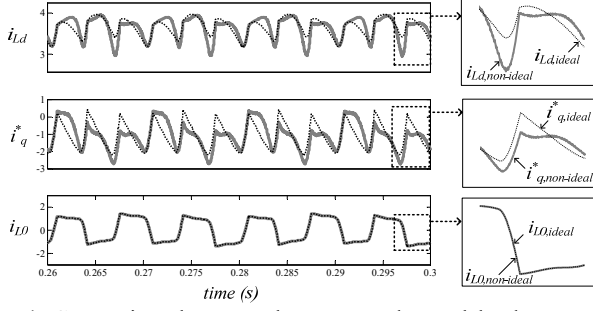


Fig. 4. Comparison between the exact and actual load currents (without the PSVD) on the  $dq0$ -axis.

$$i_{Ld} = \bar{i}_{Ld(m,n)} + \tilde{i}_{Ld(m,n)} = \sum_{m=n=1}^{\infty} \sqrt{\frac{3}{2}} I_{+n} \sin(\delta_{+n} - \phi_m) + \left. \begin{aligned} & \left( \sum_{\substack{m=1 \\ m \neq n}}^{\infty} \left[ \sum_{n=1}^{\infty} \sqrt{\frac{3}{2}} I_{+n} \sin((\omega_n - \omega_m)t + \delta_{+n} - \phi_m) \right] \right. \\ & \left. + \sum_{m=1}^{\infty} \left[ \sum_{n=1}^{\infty} \sqrt{\frac{3}{2}} I_{-n} \sin((\omega_n + \omega_m)t + \delta_{-n} + \phi_m) \right] \right) \end{aligned} \right\} \quad (7)$$

$$i_q^* = \bar{i}_{Lq(m,n)} + \tilde{i}_{Lq(m,n)} = \sum_{m=n=1}^{\infty} -\sqrt{\frac{3}{2}} I_{+n} \cos(\delta_{+n} - \phi_m) + \left. \begin{aligned} & \left( \sum_{\substack{m=1 \\ m \neq n}}^{\infty} \left[ \sum_{n=1}^{\infty} -\sqrt{\frac{3}{2}} I_{+n} \cos((\omega_n - \omega_m)t + \delta_{+n} - \phi_m) \right] \right. \\ & \left. + \sum_{m=1}^{\infty} \left[ \sum_{n=1}^{\infty} \sqrt{\frac{3}{2}} I_{-n} \cos((\omega_n + \omega_m)t + \delta_{-n} + \phi_m) \right] \right) \end{aligned} \right\} \quad (8)$$

$$i_{L0} = \tilde{i}_{L0} = \sum_{n=1}^{\infty} \sqrt{3} I_{0n} \sin(\omega_n t + \delta_{0n}) \quad (9)$$

In addition, the AC component of the load current on the  $0$ -axis ( $\tilde{i}_{L0}$ ) in Eq. (9) can be calculated with only the harmonic currents. The values of  $i_{Ld}$  and  $i_q^*$  are rotated with the angular frequency of the PCC voltages

( $\theta_{pcc} = \omega_m t + \phi_m$ ) as explained in Eq. (2) and Eq. (4).

Therefore, the calculations of  $i_{Ld}$  and  $i_q^*$  cannot correct the harmonic currents in a non-ideal voltages source. According to Fig. 4, the waveforms of the actual  $i_{Ld}$  and  $i_q^*$  are incorrect when compared with the exact  $i_{Ld}$  and  $i_q^*$  current waveforms. The spectrum comparisons of the load currents in Fig. 5 can express that  $\bar{i}_{Ld(m,n)}$  and  $\bar{i}_{Lq(m,n)}$  are included in the DC components ( $\bar{i}_{Ld}$ ,  $\bar{i}_{Lq}$ ). The AC components ( $\tilde{i}_{Ld}$ ,  $\tilde{i}_{Lq}$ ) consists of  $\tilde{i}_{Ld(m,n)}$  and  $\tilde{i}_{Lq(m,n)}$ . These components are depicted in Fig. 5 (b).

As a result, the  $i_{Ld}$  ( $\bar{i}_{Ld(m,n)} + \tilde{i}_{Ld(m,n)}$ ) and  $i_q^*$  ( $\bar{i}_{Lq(m,n)} + \tilde{i}_{Lq(m,n)}$ ) values of the actual load currents are not nearly the same as the exact load currents in Fig. 5 (a). However, the angular frequency of the PCC voltages ( $\theta_{pcc} = \omega_m t + \phi_m$ ) is not used to calculate the value of  $i_{L0}$  in Eq. (9). Therefore, the waveform of the actual  $i_{L0}$  remain equal to the exact load current.

#### IV. THE DQ-AXIS FOURIER WITH A POSITIVE VOLTAGE DETECTOR (DQFP)

The DQF algorithm as shown in Fig. 1 (Part A) can completely identify the harmonic currents. The principle of the DQF approach can be found in [26, 28]. In distorted and unbalanced voltage sources, the performance of the DQF harmonic identification has not been presented in the previous works. From section II and III, a non-ideal voltage source can provide undesirable components in terms of  $i_{Ld}$  and  $i_{Lq}^*$ . Therefore, the non-ideal voltage source must be completely filtered out using the proposed PSVD.

The PSVD is used to detect distorted and unbalanced voltage sources. The calculation procedure of the PSVD algorithm can be summarized by the block diagram in Fig. 1 (Part B). There are seven steps for calculating the voltage source.

*Step 1:* Transform the PCC voltages ( $v_{pcc,uvw}$ ) to the  $\alpha\beta$ -axis ( $v_{pcc,\alpha\beta}$ ) by a Clark's transformation.

*Step 2:* Calculate  $\theta_{pcc}$  by using a synchronous reference frame phase locked loop (SRF-PLL), as shown in block number 2. The SRF-PLL was first presented in 1997 by Kaura and Blasko [42]. This algorithm can be used to determine  $\theta_{pcc}$  in the distorted voltage source condition.

According to the SRF-PLL operation, the phase angle of the SRF-PLL ( $\theta_{PLL}$ ) is obtained by integrating the angular frequency ( $\omega_{PLL}$ ). The value of  $\omega_{PLL}$  is the output of the PI controller. This controller is used to control  $\theta_{PLL}$ . The parameters of the PI controller designed by the symmetrical optimum approach in [43] are  $K_{p,PLL} = 0.54$  and  $K_{i,PLL} = 94.19$ . On the  $dq$ -axis, if  $\omega_{PLL}$  is identical to the angular frequency of the PCC voltages ( $\omega_{pcc}$ ), the PCC voltage on the  $dq$ -axis ( $v_{pcc,d}, v_{pcc,q}$ ) in Eq. (10) appear as dc components. Therefore, the reference value of the PCC voltage on the  $q$ -axis ( $v_{pcc,q}^*$ ) is set to zero in this paper.

$$\left. \begin{aligned} v_{pcc,d} &= \sqrt{\frac{3}{2}} V_m \cos(\theta_{pcc} - \theta_{PLL}) \\ v_{pcc,q} &= \sqrt{\frac{3}{2}} V_m \sin(\theta_{pcc} - \theta_{PLL}) \end{aligned} \right\} \quad (10)$$

*Step 3:* Calculate the auxiliary currents ( $i_{\alpha,aux}, i_{\beta,aux}$ ) by Eq. (11). The value of  $I_{+n}$  in Eq. (11) denotes the unity gain.

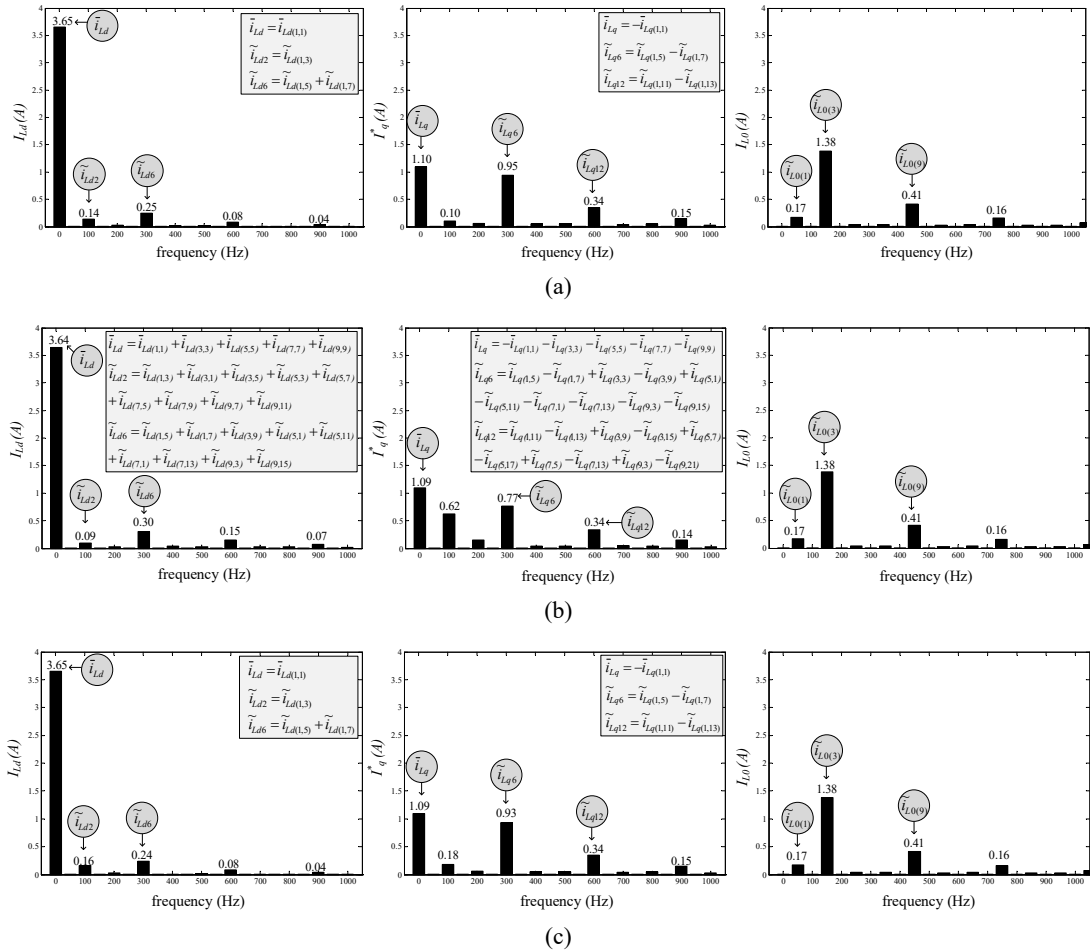


Fig. 5. Spectrums of the load currents on the  $dq0$ -axis in a non-ideal voltage source:

(a) exact load currents; (b) actual load currents (without the PSVD); (c) actual load currents (with the proposed PSVD).

$$\left. \begin{aligned} i_{\alpha,aux} &= \sqrt{\frac{3}{2}} I_{+n} \cdot \sin(\omega_{PLL} t + \phi_{PLL}) \\ i_{\beta,aux} &= -\sqrt{\frac{3}{2}} I_{+n} \cdot \cos(\omega_{PLL} t + \phi_{PLL}) \end{aligned} \right\} \quad (11)$$

Step 4: Calculate the auxiliary instantaneous power ( $p_{aux}$ ,  $q_{aux}$ ) by Eq. (12):

$$\begin{bmatrix} p_{aux} \\ q_{aux} \end{bmatrix} = \begin{bmatrix} v_{pcc,\alpha} & v_{pcc,\beta} \\ v_{pcc,\beta} & -v_{pcc,\alpha} \end{bmatrix} \cdot \begin{bmatrix} i_{\alpha,aux} \\ i_{\beta,aux} \end{bmatrix} \quad (12)$$

Step 5: Determine the DC components of the auxiliary active power ( $\bar{p}_{aux}$ ) and the reactive power ( $\bar{q}_{aux}$ ), as shown in block number 5. The values of  $p_{aux}$  and  $q_{aux}$  from step 4 can be separated in terms of DC ( $\bar{p}_{aux}$ ,  $\bar{q}_{aux}$ ) and AC ( $\tilde{p}_{aux}$ ,  $\tilde{q}_{aux}$ ) components as shown in (13) and (14), respectively. For the proposed PSVD, the HPF and LPF are replaced by the SWFA. The SWFA is used to separate the DC components ( $\bar{p}_{aux}$ ,  $\bar{q}_{aux}$ ) from the auxiliary instantaneous power ( $p_{aux}$ ,  $q_{aux}$ ). The Euler-Fourier formula is used to analyze  $p_{aux}$  and  $q_{aux}$ . The values  $p_{aux}$  and  $q_{aux}$  can be described as a periodic function ( $F(nT_s)$ ) in Eq. (15). The values  $A_0$ ,  $A_h$  and  $B_h$  are the Fourier series coefficients. In addition,  $T_s$  is the sampling interval,  $n$  is the time index,  $h$  is the harmonic order, and  $\omega$  is the angular fundamental frequency of the system.

$$\begin{aligned} & \text{DC component: } \bar{p}_{aux} \\ p_{aux} &= \left\{ \frac{3}{2} V_{+m} \cos(\phi_{+m} - \phi_{PLL}) \right\} \\ & + \left\{ \sum_{\substack{m=1 \\ m \neq n}}^{\infty} \frac{3}{2} V_{+m} \cos((\omega_m - \omega_{PLL})t + \phi_{+m} - \phi_{PLL}) \right. \\ & \left. + \sum_{m=1}^{\infty} -\frac{3}{2} V_{-m} \cos((\omega_m + \omega_{PLL})t + \phi_{-m} + \phi_{PLL}) \right\} \\ & \text{AC component: } \tilde{p}_{aux} \end{aligned} \quad (13)$$

$$\begin{aligned} & \text{DC component: } \bar{q}_{aux} \\ q_{aux} &= \left\{ \frac{3}{2} V_{+m} \sin(\phi_{+m} - \phi_{PLL}) \right\} \\ & + \left\{ \sum_{\substack{m=1 \\ m \neq n}}^{\infty} \frac{3}{2} V_{+m} \sin((\omega_m - \omega_{PLL})t + \phi_{+m} - \phi_{PLL}) \right. \\ & \left. + \sum_{m=1}^{\infty} -\frac{3}{2} V_{-m} \sin((\omega_m + \omega_{PLL})t + \phi_{-m} + \phi_{PLL}) \right\} \\ & \text{AC component: } \tilde{q}_{aux} \end{aligned} \quad (14)$$

DC components:  $\bar{p}_{aux}, \bar{q}_{aux}$

$$F(nT_s) = \frac{A_0}{2} + \sum_{h=1}^{\infty} \underbrace{[A_h \cos(h\omega T_s) + B_h \sin(h\omega T_s)]}_{\text{AC components: } \tilde{p}_{aux}, \tilde{q}_{aux}} \quad (15)$$

where:

$$A_h = \frac{2}{N} \sum_{n=N_0}^{N_0+N-1} F(nT_s) \cos(nh\omega T_s)$$

$$B_h = \frac{2}{N} \sum_{n=N_0}^{N_0+N-1} F(nT_s) \sin(nh\omega T_s)$$

$$(h = 0, 1, 2, \dots, \infty)$$

In this case, only the  $A_0$  coefficient is calculated because the SWFA technique is used to calculate the DC components ( $\bar{p}_{aux}, \bar{q}_{aux}$ ). The operation of the SWFA mechanism can be found in a previous publication [13].

Step 6: Calculate the reference voltages on the  $\alpha\beta$ -axis ( $v'_\alpha, v'_\beta$ ) by Eq. (16). This equation is verified by the dual PQ theory [12]. In addition, if  $v'_\alpha$  and  $v'_\beta$  are transformed into three phases ( $v'_{uvw}$ ), the values of  $v'_{uvw}$  can be applied for another harmonic identification.

$$\begin{bmatrix} v'_\alpha \\ v'_\beta \end{bmatrix} = \frac{1}{i_{\alpha,aux}^2 + i_{\beta,aux}^2} \begin{bmatrix} i_{\alpha,aux} & -i_{\beta,aux} \\ i_{\beta,aux} & i_{\alpha,aux} \end{bmatrix} \cdot \begin{bmatrix} \bar{p}_{aux} \\ \bar{q}_{aux} \end{bmatrix} \quad (16)$$

Step 7: Calculate the magnitude of the PCC voltages ( $|V|$ ) by using the Cartesian coordinate convention, as shown in Eq. (17).

$$|V| = \sqrt{v'^2_\alpha + v'^2_\beta} \quad (17)$$

The DQF with the proposed PSVD can provide the correct harmonic currents ( $i_{Ld}, i_q^*, i_{L0}$ ) in non-ideal voltages sources. From Fig. 6, it can be seen that waveforms of the actual load currents are nearly the same as the load currents. The spectrums of the  $i_{Ld}$ ,  $i_q^*$  and  $i_{L0}$  values calculated by the DQF with the proposed PSVD are shown in Fig. 5 (c). A spectrum comparison between the exact and actual values shows that the spectrums of the fundamental ( $\bar{i}_{Ld}, \bar{i}_{Lq}$ ) and all of the harmonic ( $\tilde{i}_{Ld}, \tilde{i}_{Lq}, \tilde{i}_{L0}$ ) values are nearly the same as the exact values. Therefore, the load currents on the  $dq0$ -axis derived from the DQF with the proposed PSVD can provide more accurate when compared with the conventional DQF.

## V. THE DESIGN PROCEDURE AND STABILITY ANALYSIS OF THE COMPENSATING CURRENT CONTROL SYSTEM BASED ON A REPETITIVE CONTROLLER

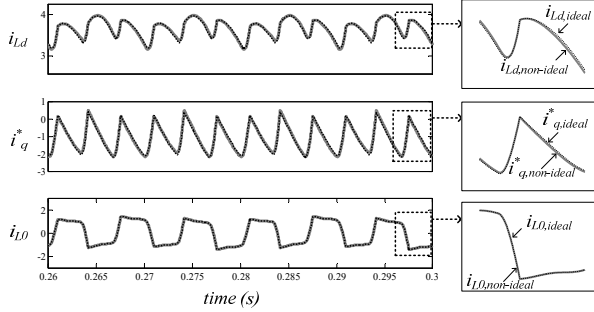


Fig. 6. Comparison between the exact and actual load currents (with the proposed PSVD) on the  $dq0$ -axis.

The DQF with the proposed PSVD algorithm described in the previous section is used to calculate the reference currents ( $i_{c(dq0)}^*$ ). The compensating current control shown in Fig. 1 (Part C) is considered in two parts. In the first part, the mathematical model of the APF on the  $dq0$ -axis [44] is used to describe the control strategy of a three-phase four-wire system. The second part is the control technique to control the compensating currents on the  $dq0$ -axis ( $i_{c(dq0)}$ ) of an APF. A repetitive controller (RT) [37, 38] is a suitable technique for this system. A block diagram of the RT controllers on the  $dq0$ -axis including the computational delay is depicted in Fig. 7. The discrete design approach is used to design the RT controllers.

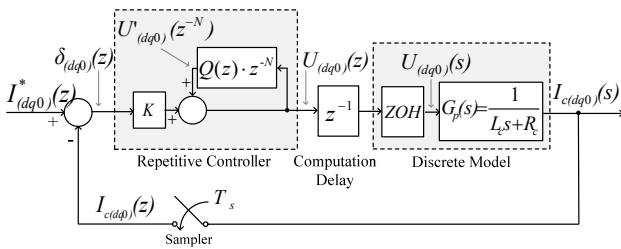


Fig. 7. Block diagram of RT controllers on the  $dq0$ -axis.

In the RT approach, for the first period, the errors between the reference currents and the compensating currents on the  $dq0$ -axis ( $\delta_{(dq0)}$ ) through the gain of the RT controllers ( $K$ ) are used to compute the output of the RT controllers ( $U_{(dq0)}$ ).  $N$  is the number of sample points in one period. For the next sampling time, the previous period of  $U_{(dq0)}$  ( $U'_{(dq0)}$ ) is added with a new  $U_{(dq0)}$ . This means that the

previous period of  $\delta_{(dq0)}$  is used to improve the present command outputs. Moreover, in order to improve the performance of the RT controllers, the low pass filters ( $Q$ ) in the RT controllers are used to mitigate the gain of  $U_{(dq0)}$  at high frequencies. These process confirm that the RT controller is an excellent mechanism to track the periodic reference input. It can also reduce the tracking error. The overall procedure to design the appropriate parameters of the RT controllers can be summarized as follows:

*Step 1:* Define the fundamental frequency ( $f_s = 50$  Hz) and the sampling time ( $T_s = 10$   $\mu$ s).

*Step 2:* Arrange the discrete transfer function of the plant using a zero-order hold (ZOH) as shown in Eq. (18).

$$G_p(z) = \left( \frac{z-1}{z} \right) \cdot Z \left\{ \frac{G_{pc}(s)}{s} \right\} = \left( \frac{1}{R_c} \right) \left( \frac{1 - e^{-\frac{R_c T_s}{L_c}}}{z - e^{-\frac{R_c T_s}{L_c}}} \right) \quad (18)$$

*Step 3:* Arrange the discrete transfer function of the RT controllers as shown in Eq. (19).

$$G_c(z) = \frac{K}{1 - Q(z) \cdot z^{-N}} \quad (19)$$

*Step 4:* Design  $Q$  for the performance improvement of the RT controller. The hundred order of the finite impulse response (FIR) with a 2500 Hz cutoff frequency is selected for  $Q$ , as given in Eq. (20). The characteristic of  $Q$  is expressed in Fig. 8.

$$Q(z) = 0.00051 + 0.00052z^{-1} + 0.00053z^{-2} + \dots + 0.04989z^{-51} + \dots + 0.00053z^{-98} + 0.00052z^{-99} + 0.00051z^{-100} \quad (20)$$

*Step 5:* Design the gain of the RT controllers ( $K$ ). The criteria for choosing  $K$  follows Hara's stability boundary [45] in Eq. (21). The upper limit of  $K$  is specified by the first condition. The value of  $K$  should be less than 3000. The second condition is true, if the  $K$  is more than 300, as shown in Fig. 9. Therefore,  $K$  is set to 1500 in this paper.

$$\left. \begin{aligned} K &\leq \frac{L_c - R_c T_s}{T_s} \therefore K \leq 3000 \\ |Q(z) \cdot z^{-N+1}| &< |K G_p(z)| \therefore K > 300 \end{aligned} \right\} \quad (21)$$

The overall procedure to design the parameters of the RT controllers can provide a good response. In Fig. 10, it can be seen that the magnitude response appears at the considered harmonic frequencies. Thus, the RT controllers can be operated to control the compensating currents on the  $dq0$ -axis at significant harmonic frequencies.



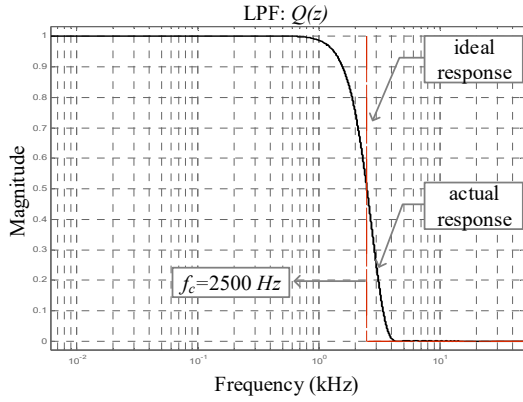


Fig. 8. Characteristic of  $Q$  in repetitive controllers.

In addition, the PI controllers designed by the discrete design approach [46] are used to control the DC bus voltages. The total ( $\sum V_{dc}$ ) and different ( $\Delta V_{dc}$ ) DC bus voltage control can provide good performance to regulate the voltages to be equal to a desired operating point ( $V_{ref}^*$ ), and it keeps the balanced voltage across the capacitors ( $C_{dc,1}, C_{dc,2}$ ).

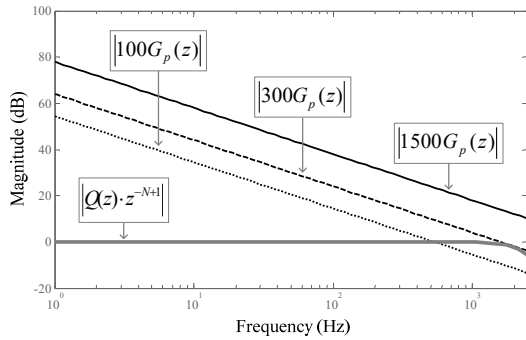


Fig. 9. Criteria for choosing  $K$ .

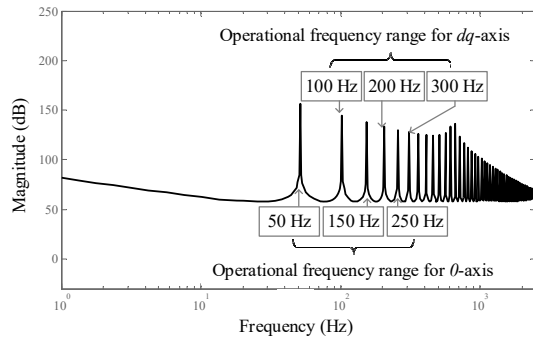


Fig. 10. Magnitude response of repetitive controllers.

## VI. HARDWARE IN THE LOOP TECHNIQUE

The ideas of the DQF with the proposed PSVD harmonic identification and control strategy are supported by hardware in the loop (HIL) as shown in Fig. 11. The hardware connection between the host computer and the eZdsp<sup>TM</sup>

F28335 board by a JTAG interfaced with a USB port is depicted in Fig. 11 (a). The configuration of the HIL technique is shown in Fig 11 (b).

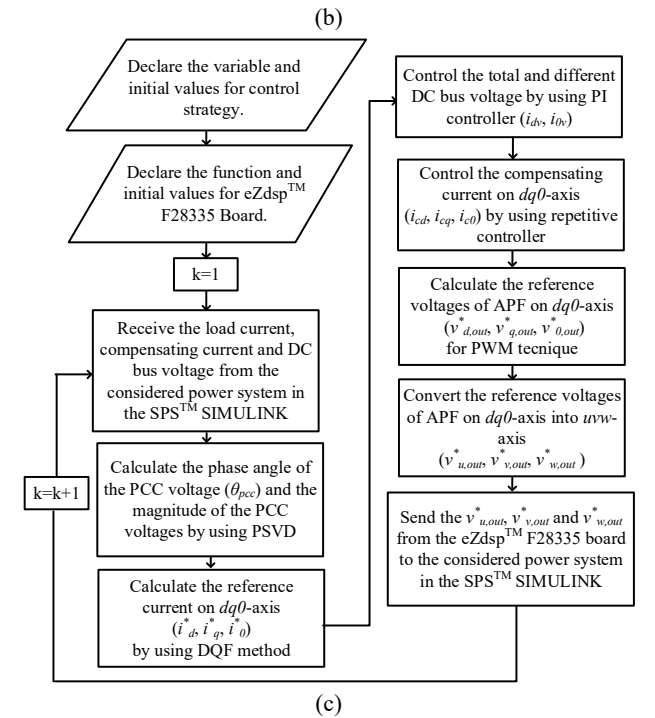
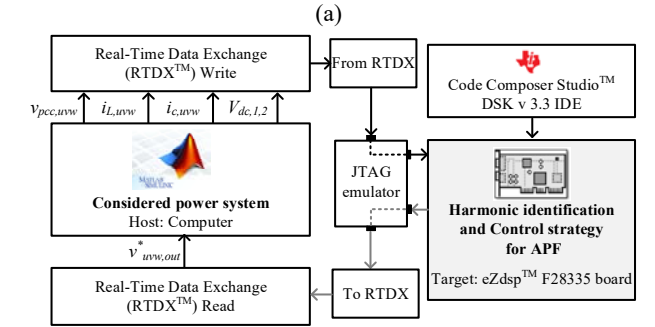
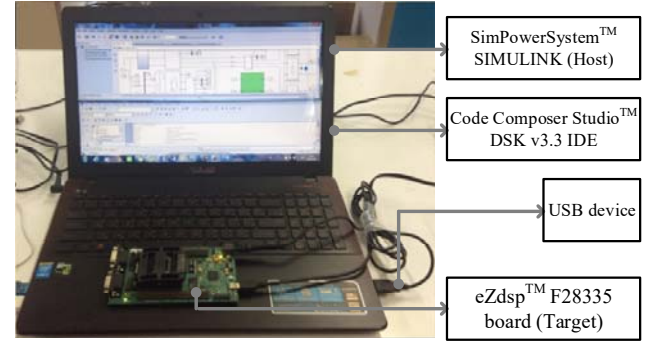


Fig. 11. HIL technique: (a) hardware connection of the HIL technique; (b) configuration of the HIL technique; (c) flowchart of the harmonic identification and control strategy.

The SPS<sup>TM</sup> Simulink in the host computer works together with the CCStudio<sup>TM</sup> in the eZdsp<sup>TM</sup> F28335 board. The PCC voltages ( $v_{pcc,uvw}$ ), the load currents ( $i_{L,uvw}$ ), the compensating currents ( $i_{c,uvw}$ ) and the DC bus voltages

( $V_{dc,1}, V_{dc,2}$ ) are detected from the considered power system in SPS<sup>TM</sup> Simulink. The “RTDX Write” block is used to write these data from SPS<sup>TM</sup> Simulink. Then, the “From RTDX” block is used to send these data from the host into the target. In the eZdsp<sup>TM</sup> F28335 board, the data from the host are calculated by a control strategy process to obtain the reference voltages of the APF ( $v_{uvw,out}^*$ ). The details of the  $v_{uvw,out}^*$  calculation can be viewed in the flowchart in Fig. 11 (c). The values of  $v_{uvw,out}^*$  are transferred into the host computer by the “To RTDX” block. The “RTDX Read” block is used to read the data from the target into the host.

## VII. RESULTS AND DISCUSSION

The performances of the novel harmonic identification and the proposed control strategy have been confirmed by the HIL technique. A performance comparison using the DQF, the DQF with the conventional PSVD and the DQF with the proposed PSVD method for harmonic identification are presented in this section.

According to Fig. 1, a non-ideal voltage source connected with a 3-single phase bridge rectifier feeding different resistor ( $R_{L(uvw)}$ ) and inductor ( $L_{L(uvw)}$ ) loads is considered. This system can generate harmonic and unbalanced source currents. The system parameters are defined in the appendix. The performance indices for the harmonic elimination in a three-phase four-wire system are the average % $THD_{av}$  of the source currents (% $THD_{av}$ ), the current unbalanced factor (% $CUF$ ) and the power factor after compensation ( $PF$ ). These values can satisfy IEEE std.519-2014 [1] and IEEE std.1459-2010 [11]. The results of the harmonic elimination on a three-phase four-wire system are illustrated in Fig. 12-14. All of the testing results in terms of the % $THD_{av}$ , % $CUF$  and  $PF$  are addressed in Table I.

Before compensation at  $t < 0.1$  s, the source currents ( $i_{s,uvw}$ ) are a distorted waveform and the neutral current ( $i_{sn}$ ) appears in the neutral line. The % $THD_{av}$  and % $CUF$  are equal to 33.53 % and 14.81 %, respectively. The compensating currents ( $i_{c,uvw}$ ) from the APF are injected into the system at  $t = 0.1$  s. From Fig. 12 (a), 13 (a) and 14 (a),  $i_{s,uvw}$  become more sinusoidal waveforms. For a comparison study, the % $THD_{av}$  from the DQF with the proposed PSVD

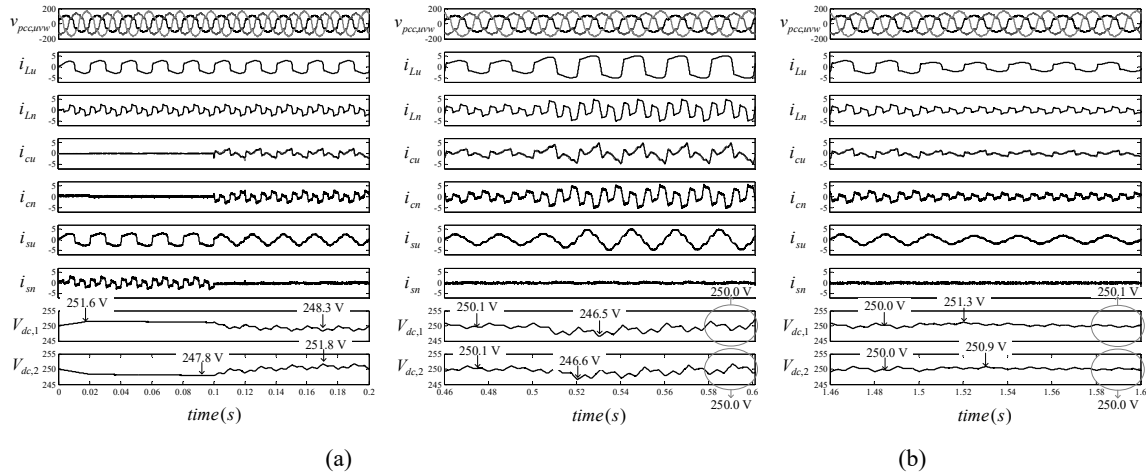
(1.41 %) is less than the DQF with the conventional PSVD (1.89 %) and the DQF (13.38 %). As a result, the DQF with the PSVD algorithm is suitable for calculating the reference currents for an APF under non-ideal voltage source conditions. The waveform of  $i_{sn}$  is nearly zero. This means that these approaches can provide the balanced current condition. The % $CUF$  from these approaches is greatly reduced. Moreover, the  $PF$  from these algorithms is nearly unity after compensation.

After compensation from  $t = 0.5$  s to 1.0 s, the amplitude of  $i_{L,uvw}$  increases as shown in Fig. 12 (b), 13 (b) and 14 (b). In this situation,  $V_{dc,1}$  and  $V_{dc,2}$  drop across  $C_{dc,1}$  and  $C_{dc,2}$ , respectively. However, the DC bus voltage control can regulate  $V_{dc,1}$  and  $V_{dc,2}$  so that these values are equal to the desired operating point (250 V). Waveforms of  $i_{s,uvw}$  from the DQF with the PSVD are more nearly sinusoidal than  $i_{s,uvw}$  from the DQF. The % $THD_{av}$  of  $i_{s,uvw}$  from the DQF, the DQF with the conventional PSVD and the DQF with the proposed PSVD approaches are equal to 13.18 %, 1.70 % and 1.16 %, respectively. It can be seen in Table I that these algorithms can provide good performance in terms of % $CUF$  and  $PF$ .

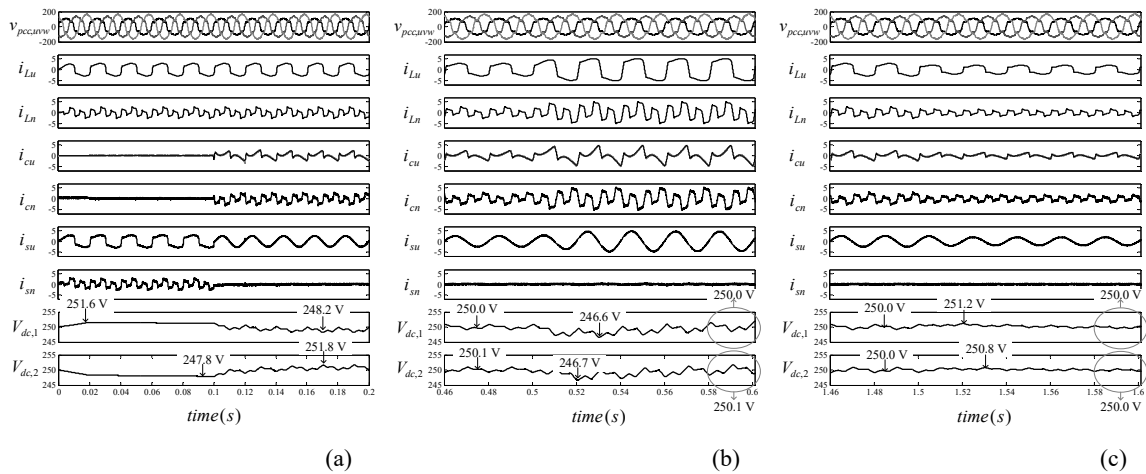
After compensation from  $t = 1.5$  s to 2.0 s, the amplitude of  $i_{L,uvw}$  decreases as shown in Fig. 12 (c), 13 (c) and 14 (c). The values of  $V_{dc,1}$  and  $V_{dc,2}$  are greater than 250 V. After  $t=1.5$  s,  $V_{dc,1}$  and  $V_{dc,2}$  can track the DC bus reference voltage even when the loads are varied. For the DQF, it can be seen from the testing results that  $i_{s,uvw}$  after compensation is a nearly sinusoidal waveform. The % $THD_{av}$  of this current is equal to 13.60 %. As a result, the DQF with the proposed PSVD can provide better results when compared with the conventional methods. The % $THD_{av}$  of  $i_{s,uvw}$  from the DQF with the proposed PSVD is equal to 1.66 %. The value of  $i_{sn}$  is nearly equal to zero. Therefore, the unbalanced source current can become a balanced source current after all of the harmonics are completely eliminated. The % $CUF$  from the DQF, the DQF with the conventional PSVD and the DQF with the proposed PSVD approaches are equal to 0.37 %, 0.42 % and 0.29 %, respectively. The results of the power factor correction for these approaches are equal to 0.97.

TABLE I  
PERFORMANCE OF THE SOURCE CURRENTS BEFORE AND AFTER COMPENSATION

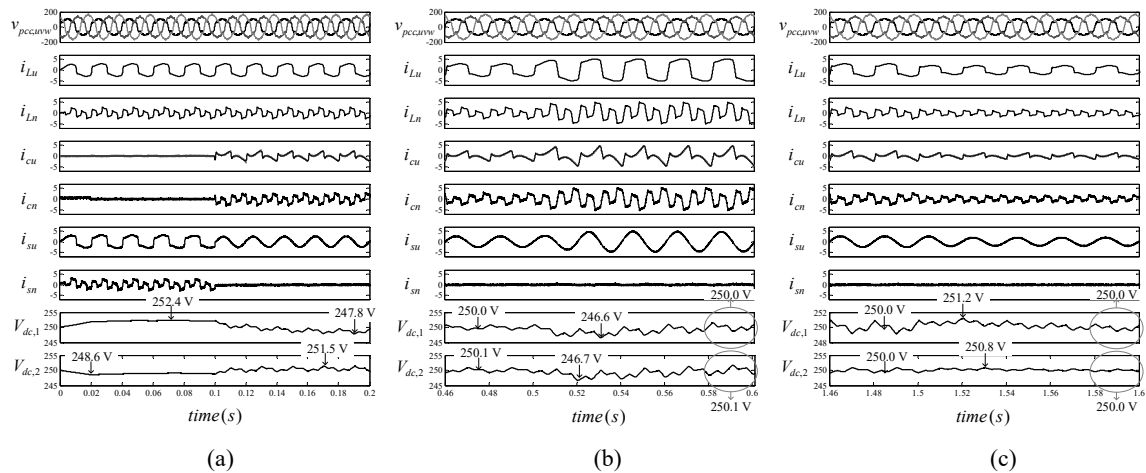
Performance indices	The decrease of the amplitude of load currents				The considered load currents				The increase of the amplitude of load currents			
	Before comp.	After compensation			Before comp.	After compensation			Before comp.	After compensation		
		DQF	DQF +conv. PSVD	DQF +proposed PSVD		DQF	DQF +conv. PSVD	DQF +proposed PSVD		DQF	DQF +conv. PSVD	DQF +proposed PSVD
% $THD_{av}$	30.95	13.60	1.83	1.66	33.53	13.38	1.89	1.41	33.84	13.18	1.70	1.16
% $CUF$	13.65	0.37	0.42	0.29	14.81	0.33	0.38	0.31	14.24	0.45	0.47	0.33
$PF$	0.86	0.97	0.97	0.97	0.83	0.97	0.97	0.97	0.80	0.98	0.98	0.98



(c)  
 Fig. 12. Test results of the harmonic elimination on a three-phase four-wire system (without the PSVD); (a) testing results for starting compensation; (b) testing results for a dynamic load change at  $t=0.5$  s; (c) testing results for a dynamic load change at  $t=1.5$  s.



(c)  
 Fig. 13. Test results of the harmonic elimination on a three-phase four-wire system (with the conventional PSVD); (a) testing results for starting compensation; (b) testing results for a dynamic load change at  $t=0.5$  s; (c) testing results for a dynamic load change at  $t=1.5$  s.



(c)  
 Fig. 14. Testing results of the harmonic elimination on a three-phase four-wire system (with the proposed PSVD); (a) testing results for starting compensation; (b) testing results for a dynamic load change at  $t=0.5$ s; (c) testing results for a dynamic load change at  $t=1.5$  s.

From Fig. 15, the compensating currents on the  $dq0$ -axis from the APF can track the reference currents calculated by the DQF, the DQF with the conventional PSVD and the DQF with the proposed PSVD methods. This means that the RT controllers of the three current loops with the control strategy proposed in the paper provide a good performance. However, the reference currents on the  $dq$ -axis calculated by the DQF, as shown in Fig. 15 (a), are not correct when compared with the exact currents. The DQF with the PSVD can provide the reference currents on the  $dq$ -axis to track the exact currents, as shown in Fig. 15 (b) and 15 (c).

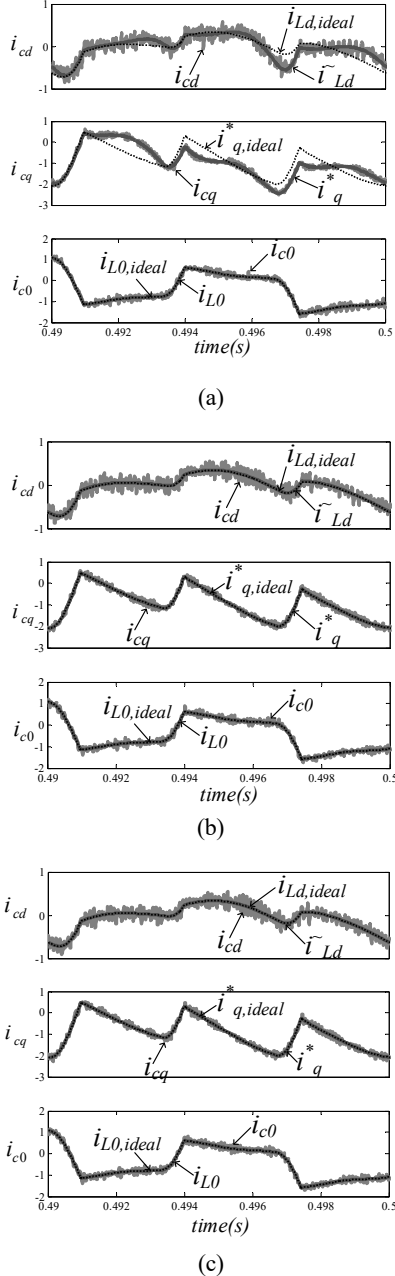


Fig. 15. Tracking performance of the compensating currents on the  $dq0$ -axis: (a) DQF without the PSVD; (b) DQF with the conventional PSVD; (c) DQF with the proposed PSVD.

## VIII. CONCLUSIONS

The DQF with the proposed PSVD is a novel harmonic identification strategy. The proposed algorithm can be used to calculate the reference currents for the APF in a three-phase four-wire system. The magnitude and phase angle of the PCC voltages and the harmonic orders of the load currents in non-ideal voltage source systems are analyzed in this paper. A performance comparison between the DQF, the DQF with the conventional PSVD and the DQF with the proposed PSVD is carried out by the hardware in the loop technique. The testing results show that the DQF with the proposed PSVD can provide accurate reference currents. In addition, design and stability analysis of the repetitive controller are expressed in this paper. The control strategy can provide good performance of the APF. The source currents after compensation can become undistorted and balanced and the APF can improve the unity power factor at the PCC.

## APPENDIX

Line voltage and frequency:

$$v_{pcc(urvw)} = 80-120 \text{ V}_{\text{rms}}, f_s = 50 \text{ Hz}$$

Line impedance of the source and load:

$$L_s = 0.1 \text{ mH}, L_{eq} = 10 \text{ mH}$$

3-single phase diode rectifiers:

$$L_{Lu} = 100 \text{ mH}, L_{Lv} = 150 \text{ mH}, L_{Lw} = 200 \text{ mH},$$

$$R_{Lu} = 15 - 45 \Omega, R_{Lv} = 20 - 60 \Omega, R_{Lw} = 25 - 75 \Omega$$

DC bus voltages:

$$V_{dc,1}^* = 250 \text{ V}, V_{dc,2}^* = 250 \text{ V}, C_{dc,1} = C_{dc,2} = 3000 \mu\text{F}$$

Line impedance of the APF:  $L_c = 30 \text{ mH}$

Switching frequency:  $f_{sw} = 5000 \text{ Hz}$

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